



Trail Brokerage Structure of ARN-118486 applicable from 01-Jul-2025 till further notice

| Scheme Detail                                                    | Scheme Category              | 1st Year Trail | 2nd Year Trail | 3rd Year Trail | 4th Year Onwards Trail |
|------------------------------------------------------------------|------------------------------|----------------|----------------|----------------|------------------------|
| Equity Funds                                                     |                              |                |                |                |                        |
| Bandhan Large & Mid Cap Fund                                     | Large & Mid Cap Fund         | 1.05%          |                | 1.05%          |                        |
| Bandhan Large Cap Fund                                           | Large Cap Fund               | 1.20%          |                | 1.20%          |                        |
| Bandhan Focused Fund                                             | Focused Fund                 | 1.20%          |                | 1.20%          |                        |
| Bandhan Infrastructure Fund                                      | Sectoral/Thematic Funds      | 1.20%          |                | 1.20%          |                        |
| Bandhan Value Fund                                               | Value Fund/Contra Fund       | 1.00%          |                | 1.00%          |                        |
| Bandhan Flexi Cap Fund                                           | Flexi Cap Fund               | 1.00%          |                | 1.00%          |                        |
| Bandhan ELSS Tax Saver Fund                                      | ELSS                         | 1.00%          |                | 1.00%          |                        |
| Bandhan Small Cap Fund                                           | Small Cap Fund               | 1.05%          |                | 1.05%          |                        |
| Bandhan US Equity Fund of Fund                                   | FOF-Overseas                 | 0.90%          |                | 0.90%          |                        |
| Bandhan Multi Cap Fund                                           | Multi Cap Fund               | 1.15%          |                | 1.15%          |                        |
| Bandhan Midcap Fund                                              | Mid Cap Fund                 | 1.20%          |                | 1.20%          |                        |
| Bandhan Transportation and Logistics Fund                        | Sectoral/Thematic Funds      | 1.40%          |                | 1.40%          |                        |
| Bandhan Financial Services Fund                                  | Sectoral/Thematic Funds      | 1.30%          |                | 1.30%          |                        |
| Bandhan Innovation Fund                                          | Sectoral/Thematic Funds      | 1.25%          |                | 1.25%          |                        |
| Bandhan Business Cycle Fund                                      | Sectoral/Thematic Funds      | 1.25%          |                | 1.25%          |                        |
| Debt Funds                                                       |                              |                |                |                |                        |
| Bandhan Floating Rate Fund                                       | Floater Fund                 | 0.40%          |                | 0.40%          |                        |
| Bandhan Banking & PSU Debt Fund                                  | Banking and PSU Fund         | 0.25%          |                | 0.25%          |                        |
| Bandhan Liquid Fund                                              | Liquid Fund                  | 0.08%          |                | 0.08%          |                        |
| Bandhan Money Manager Fund                                       | Money Market Fund            | 0.15%          |                | 0.15%          |                        |
| Bandhan Low Duration Fund                                        | Low Duration Fund            | 0.25%          |                | 0.25%          |                        |
| Bandhan Corporate Bond Fund                                      | Corporate Bond Fund          | 0.25%          |                | 0.25%          |                        |
| Bandhan Credit Risk Fund                                         | Credit Risk Fund             | 0.85%          |                | 0.85%          |                        |
| Bandhan Bond Fund - Short Term Plan                              | Short Duration Fund          | 0.40%          |                | 0.40%          |                        |
| Bandhan Bond Fund - Medium Term Plan                             | Medium Duration Fund         | 0.70%          |                | 0.70%          |                        |
| Bandhan Dynamic Bond Fund                                        | Dynamic Bond Fund            | 0.90%          |                | 0.90%          |                        |
| Bandhan Bond Fund - Income Plan                                  | Medium to Long Duration Fund | 1.00%          |                | 1.00%          |                        |
| Bandhan Government Securities Fund - Investment Plan             | Gilt Fund                    | 0.55%          |                | 0.55%          |                        |
| Bandhan Government Securities Fund-Constant Maturity Plan        | Gilt Fund                    | 0.15%          |                | 0.15%          |                        |
| Bandhan Ultra Short Term Fund                                    | Ultra Short Duration Fund    | 0.15%          |                | 0.15%          |                        |
| Bandhan Overnight Fund                                           | Overnight Fund               | 0.05%          |                | 0.05%          |                        |
| Bandhan CRISIL IBX Gilt June 2027 Index Fund                     | Index Funds - Debt           | 0.20%          |                | 0.20%          |                        |
| Bandhan CRISIL IBX Gilt April 2028 Index Fund                    | Index Funds - Debt           | 0.20%          |                | 0.20%          |                        |
| Bandhan Crisil IBX Gilt April 2026 Index Fund                    | Index Funds - Debt           | 0.20%          |                | 0.20%          |                        |
| Bandhan CRISIL IBX 90:10 SDL Plus Gilt Nov 2026 Index Fund       | Index Funds - Debt           | 0.20%          |                | 0.20%          |                        |
| Bandhan CRISIL IBX 90:10 SDL Plus Gilt April 2032 Index Fund     | Index Funds - Debt           | 0.15%          |                | 0.15%          |                        |
| Bandhan CRISIL IBX 90:10 SDL Plus Gilt Sep 2027 Index Fund       | Index Funds - Debt           | 0.20%          |                | 0.20%          |                        |
| Bandhan Crisil IBX Gilt April 2032 Index Fund                    | Index Funds - Debt           | 0.20%          |                | 0.20%          |                        |
| Bandhan CRISIL-IBX 10:90 Gilt + SDL Index Dec 2029 Fund          | Index Funds - Debt           | 0.15%          |                | 0.15%          |                        |
| Bandhan CRISIL-IBX Financial Services 3-6 Months Debt Index Fund | Index Funds - Debt           | 0.15%          |                | 0.15%          |                        |
| Bandhan US Treasury Bond 0-1 year Fund of Fund                   | FOF-Overseas                 | 0.02%          |                | 0.02%          |                        |
| Bandhan Long Duration Fund                                       | Long Duration Fund           | 0.30%          |                | 0.30%          |                        |
| Hybrid Funds                                                     |                              |                |                |                |                        |
| Bandhan Hybrid Equity Fund                                       | Aggressive Hybrid Fund       | 1.35%          |                | 1.35%          |                        |
| Bandhan Conservative Hybrid Fund                                 | Conservative Hybrid Fund     | 1.10%          |                | 1.10%          |                        |
| Bandhan Balanced Advantage Fund                                  | Balanced Advantage Fund      | 1.15%          |                | 1.15%          |                        |
| Bandhan Arbitrage Fund                                           | Arbitrage Fund               | 0.65%          |                | 0.65%          |                        |
| Bandhan Equity Savings Fund                                      | Equity Savings Fund          | 0.65%          |                | 0.65%          |                        |
| Bandhan Retirement Fund                                          | Retirement Fund              | 1.40%          |                | 1.40%          |                        |
| Bandhan Multi Asset Allocation Fund                              | Multi Asset Allocation       | 1.15%          |                | 1.15%          |                        |



| Scheme Detail                                           | Scheme Category         | 1st Year Trail | 2nd Year Trail | 3rd Year Trail | 4th Year Onwards Trail |
|---------------------------------------------------------|-------------------------|----------------|----------------|----------------|------------------------|
| Other Funds                                             |                         |                |                |                |                        |
| Bandhan Asset Allocation Fund - FOF - Moderate Plan     | Fund of Fund - Domestic | 0.60%          |                | 0.60%          |                        |
| Bandhan Asset Allocation Fund - FOF - Aggressive Plan   | Fund of Fund - Domestic | 0.65%          |                | 0.65%          |                        |
| Bandhan Asset Allocation Fund - FOF - Conservative Plan | Fund of Fund - Domestic | 0.40%          |                | 0.40%          |                        |
| Bandhan Nifty 50 Index Fund                             | Index Fund - Equity     | 0.35%          |                | 0.35%          |                        |
| Bandhan Income Plus Arbitrage Fund of Funds             | Fund of Fund - Domestic | 0.23%          |                | 0.23%          |                        |
| Bandhan Nifty200 Momentum 30 Index Fund                 | Index Fund - Equity     | 0.55%          |                | 0.55%          |                        |
| Bandhan Nifty100 Low Volatility 30 Index Fund           | Index Fund - Equity     | 0.55%          |                | 0.55%          |                        |
| Bandhan Nifty 100 Index Fund                            | Index Fund - Equity     | 0.35%          |                | 0.35%          |                        |
| Bandhan Nifty IT Index Fund                             | Index Fund - Equity     | 0.55%          |                | 0.55%          |                        |
| Bandhan Nifty Alpha 50 Index Fund                       | Index Fund - Equity     | 0.55%          |                | 0.55%          |                        |
| Bandhan Nifty Smallcap 250 Index Fund                   | Index Fund - Equity     | 0.45%          |                | 0.45%          |                        |
| Bandhan Nifty Total Market Index Fund                   | Index Fund - Equity     | 0.55%          |                | 0.55%          |                        |
| Bandhan Nifty Bank Index Fund                           | Index Fund - Equity     | 0.50%          |                | 0.50%          |                        |
| Bandhan BSE Healthcare Index Fund                       | Index Fund - Equity     | 0.40%          |                | 0.40%          |                        |
| Bandhan Nifty Midcap 150 Index Fund                     | Index Fund - Equity     | 0.50%          |                | 0.50%          |                        |
| Bandhan Nifty 500 Value 50 Index Fund                   | Index Fund - Equity     | 0.55%          |                | 0.55%          |                        |
| Bandhan Nifty 500 Momentum 50 Index Fund                | Index Fund - Equity     | 0.55%          |                | 0.55%          |                        |
| Bandhan Nifty 200 Quality 30 Index Fund                 | Index Fund - Equity     | 0.55%          |                | 0.55%          |                        |
| Bandhan Nifty Alpha Low Volatility 30 Index Fund        | Index Fund - Equity     | 0.50%          |                | 0.50%          |                        |
| Bandhan Nifty Next 50 Index Fund                        | Index Fund - Equity     | 0.50%          |                | 0.50%          |                        |

Terms & Conditions:

- i. All distribution commission shall be payable only in form of Trail commission. No upfront commission or Trail paid in advance / Upfronted Trail shall be payable.
- ii. B30 incentive (Trail) is applicable for the assets sourced till 28th Feb’23. The same will be computed till the completion of the first year or the assets get redeemed or switched out from the scheme, whichever is earlier.
- iii. B30 incentive (Trail) is not applicable for the assets (new inflows) sourced from 01st Mar’23.
- iv. The brokerage/incentives would be inclusive of Goods and Service Tax/ Cess and any other applicable tax, if any as per the current regulations
- v. Any brokerage payout related discrepancies have to be intimated to us within 45 days from the date of release of brokerage.
- vi. All distributors who have correctly updated the GST number with AMFI would be treated as registered distributors under GST and no deduction would be done from their pay-outs, whereas in case of Unregistered distributor or a distributor having registration number but failing to update the details with AMFI would also be treated as Unregistered distributor and appropriate procedure as per the Goods and Service Tax Act, would be followed.
- vii. For **normal brokerage**, the distributors will have to raise the invoices favouring Bandhan Mutual Fund and do the GST return filing accordingly.

Below is the GST number of Bandhan Mutual Fund.

| Name of Entity      | Billing Address                                                                                                            | PAN        | GST no          |
|---------------------|----------------------------------------------------------------------------------------------------------------------------|------------|-----------------|
| Bandhan Mutual Fund | 6th Floor, One World centre, Jupiter Mills Compound, 841, Senapati Bapat Marg,Elphinstone Road, Mumbai, Maharashtra 400013 | AAETS9556K | 27AAETS9556K1ZP |

- viii.Bandhan Asset Management Company Limited (Bandhan AMC) reserves absolute right and authority to change the brokerage structure applicable to existing as well as future assets contributed by the Distributor, at its sole discretion. Any such change in the brokerage structure shall be intimated to the Distributors by telephone/post/email/courier/post/text message or such other medium of communication as may be preferred by Bandhan AMC and the same shall be effective from the date of dispatch of such communication.
- ix. W.e.f. 1st Jan’22, we have shifted to triggered based payout mechanism for fresh SIP/STP registrations. For live SIP/STP as on 31st Dec’21, we will continue to follow registration based payout mechanism

The below table details the Exit Loads applicable and Effective Date:

| Scheme                                                       | Exit Load                                                                                                                  |
|--------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| Bandhan Large & Mid Cap Fund                                 | Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months                        |
| Bandhan Large Cap Fund                                       | 0.5% if redeemed /switched out within 30 days (w.e.f.31st Jan 2024)                                                        |
| Bandhan Focused Fund                                         | Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months                        |
| Bandhan Infrastructure Fund                                  | 0.5% if redeemed /switched out within 30 days (w.e.f.31st Jan 2024)                                                        |
| Bandhan Value Fund                                           | Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months                        |
| Bandhan Flexi Cap Fund                                       | Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months                        |
| Bandhan ELSS Tax Saver Fund                                  | Nil                                                                                                                        |
| Bandhan Small Cap Fund                                       | 1% if redeemed /switched out within 365 days                                                                               |
| Bandhan US Equity Fund of Fund                               | 1% if redeemed /switched out within 365 days                                                                               |
| Bandhan Multi Cap Fund                                       | 1% if redeemed /switched out within 365 days                                                                               |
| Bandhan Midcap Fund                                          | 1% if redeemed /switched out within 365 days                                                                               |
| Bandhan Transportation and Logistics Fund                    | 0.5% if redeemed /switched out within 30 days (w.e.f.31st Jan 2024)                                                        |
| Bandhan Financial Services Fund                              | 0.5% if redeemed /switched out within 30 days (w.e.f.31st Jan 2024)                                                        |
| Bandhan Innovation Fund                                      | 0.50% if redeemed/switched out on or before 30 Days (w.e.f.02nd May 2024)                                                  |
| Bandhan Business Cycle Fund                                  | 0.50% if redeemed/switched out on or before 30 Days (w.e.f. 01st Oct 2024)                                                 |
| Bandhan Floating Rate Fund                                   | Nil                                                                                                                        |
| Bandhan Banking & PSU Debt Fund                              | Nil                                                                                                                        |
| Bandhan Liquid Fund                                          | Day 1 - 0.0070%; Day 2 - 0.0065%; Day 3 - 0.0060%; Day 4 - 0.0055%; Day 5 - 0.0050%; Day 6 - 0.0045%; Day 7 onwards - Nil. |
| Bandhan Money Manager Fund                                   | Nil                                                                                                                        |
| Bandhan Low Duration Fund                                    | Nil                                                                                                                        |
| Bandhan Corporate Bond Fund                                  | Nil                                                                                                                        |
| Bandhan Credit Risk Fund                                     | 1% if redeemed /switched out within 365 days.                                                                              |
| Bandhan Bond Fund - Short Term Plan                          | Nil                                                                                                                        |
| Bandhan Bond Fund - Medium Term Plan                         | Nil (w.e.f. 15th Jan. 2019)                                                                                                |
| Bandhan Dynamic Bond Fund                                    | Nil                                                                                                                        |
| Bandhan Bond Fund - Income Plan                              | Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months                        |
| Bandhan Government Securities Fund - Investment Plan         | Nil                                                                                                                        |
| Bandhan Government Securities Fund - Constant Maturity Plan  | Nil                                                                                                                        |
| Bandhan Ultra Short Term Fund                                | Nil                                                                                                                        |
| Bandhan Overnight Fund                                       | Nil                                                                                                                        |
| Bandhan CRISIL IBX Gilt June 2027 Index Fund                 | Nil                                                                                                                        |
| Bandhan CRISIL IBX Gilt April 2028 Index Fund                | Nil                                                                                                                        |
| Bandhan Crisil IBX Gilt April 2026 Index Fund                | Nil (w.e.f.20th Oct 2022).                                                                                                 |
| Bandhan CRISIL IBX 90:10 SDL Plus Gilt Nov 2026 Index Fund   | Nil (w.e.f.17th Nov 2022).                                                                                                 |
| Bandhan CRISIL IBX 90:10 SDL Plus Gilt April 2032 Index Fund | Nil (w.e.f.29th Nov 2022).                                                                                                 |
| Bandhan CRISIL IBX 90:10 SDL Plus Gilt Sep 2027 Index Fund   | Nil (w.e.f.24th Nov 2022).                                                                                                 |
| Bandhan Crisil IBX Gilt April 2032 Index Fund                | Nil (w.e.f.16th Feb 2023).                                                                                                 |

|                                                                  |                                                                                                                                                                                                |
|------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Bandhan CRISIL-IBX 10:90 Gilt + SDL Index Dec 2029 Fund          | Nil                                                                                                                                                                                            |
| Bandhan CRISIL-IBX Financial Services 3-6 Months Debt Index Fund | Nil                                                                                                                                                                                            |
| Bandhan US Treasury Bond 0-1 year Fund of Fund                   | Upto 10% of investment -Nil, For balance investment -0.25% if redeemed / switched out within 7 Days                                                                                            |
| Bandhan Long Duration Fund                                       | Nil (w.e.f.21st Mar 2024)                                                                                                                                                                      |
| Bandhan Hybrid Equity Fund                                       | Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months                                                                                            |
| Bandhan Conservative Hybrid Fund                                 | Upto 10% of investment -Nil, For balance investment -0.25% if redeemed / switched out within 7 Days                                                                                            |
| Bandhan Balanced Advantage Fund                                  | If redeemed/switched out on/within 90 days from the date of allotment - 0.50% of the applicable NAV, If redeemed/switched out after 90 days from the date of allotment – Nil w.e.f 10-Feb-2025 |
| Bandhan Arbitrage Fund                                           | 0.25% if redeemed/switched out on or before 15 Days (w.e.f.01st Apr 2024)                                                                                                                      |
| Bandhan Equity Savings Fund                                      | Upto 10% of investment -Nil, For balance investment -0.25% if redeemed / switched out within 7 Days (w.e.f. November 03, 2021)                                                                 |
| Bandhan Retirement Fund                                          | Nil (w.e.f 19th Oct 2023).                                                                                                                                                                     |
| Bandhan Multi Asset Allocation Fund                              | Up to 10% of investment -Nil, For balance investment - 0.50% if redeemed / switched out within 12 months (w.e.f.01st Apr 2024)                                                                 |
| Bandhan Asset Allocation Fund - FOF -Moderate Plan               | Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months                                                                                            |
| Bandhan Asset Allocation Fund - FOF - Aggressive Plan            | Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months                                                                                            |
| Bandhan Asset Allocation Fund - FOF -Conservative Plan           | Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months                                                                                            |
| Bandhan Nifty 50 Index Fund                                      | Nil (w.e.f. 04th Feb. 2019)                                                                                                                                                                    |
| Bandhan Income Plus Arbitrage Fund of Funds                      | Nil (w.e.f.11th Nov. 2020)                                                                                                                                                                     |
| Bandhan Nifty200 Momentum 30 Index Fund                          | Nil (w.e.f. 02nd Sep 2022)                                                                                                                                                                     |
| Bandhan Nifty100 Low Volatility 30 Index Fund                    | Nil (w.e.f 15th Sep 2022).                                                                                                                                                                     |
| Bandhan Nifty 100 Index Fund                                     | Nil (w.e.f. 24th Feb. 2022)                                                                                                                                                                    |
| Bandhan Nifty IT Index Fund                                      | Nil (w.e.f. 01st Sep 2023)                                                                                                                                                                     |
| Bandhan Nifty Alpha 50 Index Fund                                | Nil (w.e.f. 10th Nov 2023)                                                                                                                                                                     |
| Bandhan Nifty Smallcap 250 Index Fund                            | Nil (w.e.f. 26th Dec 2023)                                                                                                                                                                     |
| Bandhan Nifty Total Market Index Fund                            | 0.25% if redeemed/switched out on or before 15 Days (w.e.f. 11th Jul 2024)                                                                                                                     |
| Bandhan Nifty Bank Index Fund                                    | 0.25% if redeemed/switched out on or before 15 Days (w.e.f. 28th Aug 2024)                                                                                                                     |
| Bandhan BSE Healthcare Index Fund                                | 0.25% if redeemed/switched out on or before 15 Days (w.e.f. 07th Sep 2024)                                                                                                                     |
| Bandhan Nifty Midcap 150 Index Fund                              | 0.25% if redeemed/switched out on or before 15 Days (w.e.f. 20th Sep 2024)                                                                                                                     |
| Bandhan Nifty 500 Value 50 Index Fund                            | 0.25% if redeemed/switched out on or before 15 Days (w.e.f. 30th Oct 2024)                                                                                                                     |
| Bandhan Nifty 500 Momentum 50 Index Fund                         | 0.25% if redeemed/switched out on or before 15 Days (w.e.f. 30th Oct 2024)                                                                                                                     |
| Bandhan Nifty 200 Quality 30 Index Fund                          | 0.25% if redeemed/switched out on or before 15 Days (w.e.f. 05th Dec 2024)                                                                                                                     |
| Bandhan Nifty Alpha Low Volatility 30 Index Fund                 | 0.25% if redeemed/switched out on or before 15 Days (w.e.f. 24th Jan 2025)                                                                                                                     |
| Bandhan Nifty Next 50 Index Fund                                 | 0.25% if redeemed/switched out on or before 15 Days (w.e.f. 3rd Mar 2025)                                                                                                                      |

To,

ARN-118486

HONEYBEE FINSERVE PRIVATE LIMITED

Commission Structure – 1<sup>st</sup> July 2026 – 30<sup>th</sup> September 2026

| Scheme Name                                       | Trail 1 <sup>st</sup> -3 <sup>rd</sup><br>Year (% p.a)<br>excluding GST | Trail 4 <sup>th</sup> Year<br>Onwards (% p.a)<br>excluding GST | Product Details            |
|---------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------|----------------------------|
| <b>Equity Funds</b>                               |                                                                         |                                                                |                            |
| Bank of India Large & Mid Cap Fund                | 1.45                                                                    | 1.35                                                           | <a href="#">Click here</a> |
| Bank of India ELSS Tax Saver                      | 1.25                                                                    | 1.15                                                           | <a href="#">Click here</a> |
| Bank of India Manufacturing & Infrastructure Fund | 1.45                                                                    | 1.35                                                           | <a href="#">Click here</a> |
| Bank of India Small Cap Fund                      | 1.15                                                                    | 1.05                                                           | <a href="#">Click here</a> |
| Bank of India Flexi Cap Fund                      | 1.1                                                                     | 1                                                              | <a href="#">Click here</a> |
| Bank of India Large Cap Fund                      | 1.45                                                                    | 1.35                                                           | <a href="#">Click here</a> |
| Bank of India Multicap Fund                       | 1.3                                                                     | 1.2                                                            | <a href="#">Click here</a> |
| Bank of India Business Cycle Fund                 | 1.45                                                                    | 1.35                                                           | <a href="#">Click here</a> |
| Bank of India Banking & Financial Services Fund   | 1.45                                                                    | 1.35                                                           | <a href="#">Click here</a> |
| Bank of India Consumption Fund                    | 1.45                                                                    | 1.35                                                           | <a href="#">Click here</a> |
| Bank of India Mid Cap Fund                        | 1.45                                                                    | 1.35                                                           | <a href="#">Click here</a> |
| <b>Hybrid Funds</b>                               |                                                                         |                                                                |                            |
| Bank of India Mid & Small Cap Equity & Debt Fund  | 1.2                                                                     | 1.1                                                            | <a href="#">Click here</a> |
| Bank of India Balanced Advantage Fund             | 1.5                                                                     | 1.4                                                            | <a href="#">Click here</a> |
| Bank of India Multi Asset Allocation Fund         | 1.2                                                                     | 1.1                                                            | <a href="#">Click here</a> |
| Bank of India Conservative Hybrid Fund            | 1.3                                                                     | 1.2                                                            | <a href="#">Click here</a> |
| Bank of India Arbitrage Fund                      | 0.6                                                                     | 0.5                                                            | <a href="#">Click here</a> |
| <b>Debt Funds</b>                                 |                                                                         |                                                                |                            |
| Bank of India Short Term Income Fund              | 0.7                                                                     | 0.65                                                           | <a href="#">Click here</a> |
| Bank of India Ultra Short Duration Fund           | 0.6                                                                     | 0.55                                                           | <a href="#">Click here</a> |
| Bank of India Money Market Fund                   | 0.25                                                                    | 0.2                                                            | <a href="#">Click here</a> |
| Bank of India Liquid Fund                         | 0.04                                                                    | 0.04                                                           | <a href="#">Click here</a> |
| Bank of India Overnight Fund                      | 0.03                                                                    | 0.03                                                           | <a href="#">Click here</a> |
| Bank of India Credit Risk Fund                    | 0.8                                                                     | 0.75                                                           | <a href="#">Click here</a> |

**Terms & Conditions:** Please note the above commission rates are subject to revisions in case of changes that maybe required in view of Regulatory guidelines from SEBI / AMFI Best Practices.

1. The commission rates are applicable only to MFD's (distributors) empaneled with Bank of India Mutual Fund..

2. The Commission structure communicated above is exclusive of any GST on expenses, STT/CTT, stamp duty, SEBI fees, exchange fees and other execution related charges etc. that may be applicable to the distributor. The distributor will comply with the provisions of Goods and Services Tax Laws (hereinafter referred to as "GST Laws" which includes but is not limited to Goods and Services tax Act, which shall mean The Central Goods and Services tax Act, 2017 ('CGST'); The Union Territory Goods and Services tax Act, 2017 ('UTGST'); The Respective State Goods and Services tax Act 2017 ('SGST') and The Integrated Goods and Services tax Act, 2017 ('IGST')). Any change in details such as address, GSTN and contact details shall be intimated within 10 (ten) days of change.

a. Commission payments shall henceforth be made as below;

I. Base commission (Exclusive of GST): Will be paid to both Registered and Un-registered distributors on a monthly basis.

II. GST Component: GST will be paid only to Registered distributors, subject to submission of a valid tax invoice. Since unregistered distributors are not liable to charge GST, no GST shall be payable to them. GST on the brokerage commission shall be paid out only when the MFD uploads/submits a valid GST invoice. Distributors who have registered under the GST act are required to upload the invoices by 15th of every month via RTA KFIN website <https://dss.kfintech.com/dssweb/Dashboard>.

III. On receipt of the invoices and subsequent validations, the GST component shall be released to the MFDs.

IV. For distributors Not Registered under GST, only the base commission exclusive of GST will be paid. No GST invoice or summary submission will be required.

b. The distributor shall be responsible for complying with the requirements of GST Laws such as, including but not limited to, issuing invoice as per GST Laws requirement, uploading the details of the same on GSTN portal, filing of GST returns etc.

c. AMC/Mutual Fund shall not be held liable in case of any mismatch in the report generated by GSTN due to any omission by Distributors in providing such information to AMC/Mutual Fund.

d. AMC/Mutual Fund reserves the right to claw back or withhold any future Commission payments for non/incorrect submission of GSTN details to AMC/Mutual Fund or for any liability, tax, interest, penalty, charges etc. arising because of non-compliance of GST Laws or for any excess GST paid.

e. Bills raised by Registered Distributors should carry tax rate as applicable under GST Laws. Invoices shall be raised in the name of Bank of India Mutual Fund with following mandatory details of Mutual Fund: -

**Name – Bank of India Mutual Fund**

**B/204, Tower 1, Peninsula Corporate Park,**

**Ganpatrao Kadam Marg, Lower Parel, Mumbai 400013**

## **Place of Supply – Mumbai**

### **GST No. - 27AABTB3493R1Z3**

3.“The distributor shall be eligible for additional incentive commission only in accordance with SEBI Circular dated 27th November 2025 and AMFI implementation standards, subject to fulfilment of all prescribed conditions, including identification of new PANs and retention of investment for a minimum period of one year with effect from the date as maybe notified by SEBI.”

4. The commission rate are applicable for all Purchases (including switches, SIP and STP etc) .

5. The Annualized commission will be computed on the basis of “Daily Average Asset” on the NAV and paid on monthly basis.

6. The commission payout for SIP/STP will be based on Trade date and not on the basis of Registration date.

7.The AMC will pay only Trail commission to the Distributors.

8. Please read the Scheme Information Document (SID), Statement of Additional Information (SAI) Key Information Memorandum (KIM) and Notice cum addendum as issued from time to time of the respective schemes for existing Total Expense Ratio ( TER), existing exit load or any scheme related information.

9. Change of Address/Contact Details / Self Declaration/ Renewal/ must be submitted to AMFI Unit of CAMS or CAMS Service Centre and not to individual AMCs /RTAs.

10. Effective September 1, 2010, AMFI has introduced the Know Your Distributor (“KYD”) norms applicable to all the Mutual Fund Distributors for fresh ARN registration and ARN renewal. As advised by AMFI, distribution/advisors are required to be KYD complied failing to which payment of commission will be suspended in full.

11. The commission rate is subject to EUIN (Employee Unique Identification Number) regulations/ guidelines as specified by SEBI/ AMFI from time to time. Distributor shall adhere to all applicable SEBI regulation/ Circular on Code of Conduct for intermediaries and other guidelines issued by SEBI/ AMFI from time to time for Mutual Fund Distributor and ensure that no rebate is given to the to the investor in any form and there is no splitting of application for any benefit. Bank of India Investment Managers Private Limited reserves the right to withhold the payment on account of non-adherence to regulatory guidelines, misselling and non-adherence to code of conduct or any reason that Bank of India Investment Managers Private Limited may deem fit.

12. Claw back / Recovery: In case the Commission payable to Distributor is insufficient to recover any claw back amount or any recovery pending, an intimation will be sent to the Distributor asking him to refund the money to the AMC. In case the Distributor does not pay the money within 1 month from the date of the receipt of the notice, the AMC will approach AMFI for the resolution of the same.

13. The distributors shall adhere to all applicable SEBI Regulations in force from time to time and more particularly to SEBI circular on the code of conduct and SEBI & AMFI guidelines on mis-selling other guidelines issued by AMFI from time to time for distributors.

14. The Commission structure is subject to provision of SEBI Regulation / AMFI Circular amended & review from time to time and the AMC reserves the right to change the commission structure/period without any prior intimation for various reasons including to meet the regulatory requirements. Decision of AMC pertaining to commission calculation and other matters pertaining thereto shall be final & binding.

15. Distributor will disclose to the Investor that no entry load will be charged for Purchase/ additional purchase / switch-in transactions, registration under Systematic Investment Plans/ Systematics Transfer Plan in the schemes of Bank of India Mutual Fund.

16. As per AMFI Best Practices Circular No. 112/2023-24 read with AMFI Circular no. 135/BP/112-1/2025-26 dated July 30, 2025 in respect of change of distributor / ARN code initiated by the investor, the AMCs may consider making payment of trail commission to the transferee distributor after a cooling off period of twelve months from the date of change of distributor code in the Unitholder database. If the distributor code is changed back to the original ARN code within the cooling off period of twelve months, then the cooling off period of further twelve months shall restart from such date of change of distributor code. The payment of commission to the new (transferee) distributor shall be based on the lower of the commission rate (as applicable on the date of change of distributor code) of the transferor and transferee distributor. No commission will accrue during the 12-month cooling off period (i.e. No trail Commission will be paid for twelve months of cooling period from the date of Broker code changed).

17. In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Distributors are advised to ensure compliance of the same.

18. As per AMFI guidelines 135/BP/ 107 /2023-24 dated May 04, 2023, if any business is mobilized by un-empaneled distributor it will be processed under "Direct Plan" and no commission will be paid to the distributor on the same.

**MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.**

LOAD & DISTRIBUTOR REMUNERATION STRUCTURE  
Period : 1st July 2025 - 30th Sept 2025

| Scheme Name Plan<br>(For All Application Sizes)       | Brokerage<br>Applicable to T30 & B30 Cities |                              |                   |
|-------------------------------------------------------|---------------------------------------------|------------------------------|-------------------|
|                                                       | Trail<br>First to Third Year                | Trail<br>Fourth Year Onwards | Total For 3 Years |
|                                                       | Annual Paid Monthly                         | Annual Paid Monthly          | T-30 & B-30       |
| Baroda BNP Paribas Health & Wellness Fund*            | 1.70%                                       | 1.55%                        | 5.10%             |
| Baroda BNP Paribas Energy Opportunities Fund          | 1.45%                                       | 1.30%                        | 4.35%             |
| Baroda BNP Paribas Dividend Yield Fund                | 1.40%                                       | 1.25%                        | 4.20%             |
| Baroda BNP Paribas Manufacturing Fund                 | 1.40%                                       | 1.25%                        | 4.20%             |
| Baroda BNP Paribas Innovation Fund                    | 1.40%                                       | 1.25%                        | 4.20%             |
| Baroda BNP Paribas Small Cap Fund                     | 1.40%                                       | 1.25%                        | 4.20%             |
| Baroda BNP Paribas Flexi Cap Fund                     | 1.40%                                       | 1.25%                        | 4.20%             |
| Baroda BNP Paribas Large Cap Fund                     | 1.30%                                       | 1.15%                        | 3.90%             |
| Baroda BNP Paribas Multi Cap Fund                     | 1.30%                                       | 1.15%                        | 3.90%             |
| Baroda BNP Paribas Large & Mid Cap Fund               | 1.45%                                       | 1.30%                        | 4.35%             |
| Baroda BNP Paribas Mid Cap Fund                       | 1.30%                                       | 1.15%                        | 3.90%             |
| Baroda BNP Paribas Focused Fund                       | 1.65%                                       | 1.50%                        | 4.95%             |
| Baroda BNP Paribas Value Fund                         | 1.45%                                       | 1.30%                        | 4.35%             |
| Baroda BNP Paribas ELSS Fund                          | 1.45%                                       | 1.30%                        | 4.35%             |
| Baroda BNP Paribas Funds Aqua Fund of Fund            | 1.00%                                       | 0.85%                        | 3.00%             |
| Baroda BNP Paribas India Consumption Fund             | 1.45%                                       | 1.30%                        | 4.35%             |
| Baroda BNP Paribas Banking & Financial Services Fund  | 1.85%                                       | 1.70%                        | 5.55%             |
| Baroda BNP Paribas Business Cycle Fund                | 1.65%                                       | 1.50%                        | 4.95%             |
| Baroda BNP Paribas Aggressive Hybrid Fund             | 1.45%                                       | 1.30%                        | 4.35%             |
| Baroda BNP Paribas Balanced Advantage Fund            | 1.30%                                       | 1.15%                        | 3.90%             |
| Baroda BNP Paribas Equity Savings Fund                | 1.40%                                       | 1.25%                        | 4.20%             |
| Baroda BNP Paribas Multi Asset Fund                   | 1.40%                                       | 1.25%                        | 4.20%             |
| Baroda BNP Paribas Retirement Fund                    | 1.90%                                       | 1.75%                        | 5.70%             |
| Baroda BNP Paribas Childrens Fund                     | 1.90%                                       | 1.75%                        | 5.70%             |
| Baroda BNP Paribas Income plus Arbitrage Active FOF   | 0.20%                                       | 0.20%                        | 0.60%             |
| Baroda BNP Paribas Multi Asset Active FOF             | 0.80%                                       | 0.70%                        | 2.40%             |
| Baroda BNP Paribas Arbitrage Fund                     | 0.80%                                       | 0.80%                        | 2.40%             |
| Baroda BNP Paribas Conservative Hybrid Fund           | 1.25%                                       | 1.15%                        | 3.75%             |
| Baroda BNP Paribas Overnight Fund                     | 0.05%                                       | 0.05%                        | 0.15%             |
| Baroda BNP Paribas Liquid Fund                        | 0.12%                                       | 0.12%                        | 0.36%             |
| Baroda BNP Paribas Ultra Short Duration Fund          | 0.18%                                       | 0.18%                        | 0.54%             |
| Baroda BNP Paribas Low Duration Fund                  | 0.75%                                       | 0.75%                        | 2.25%             |
| Baroda BNP Paribas Money Market Fund                  | 0.16%                                       | 0.16%                        | 0.48%             |
| Baroda BNP Paribas Short Duration Fund                | 0.70%                                       | 0.70%                        | 2.10%             |
| Baroda BNP Paribas Dynamic Bond Fund                  | 1.15%                                       | 1.15%                        | 3.45%             |
| Baroda BNP Paribas Corporate Bond Fund                | 0.25%                                       | 0.25%                        | 0.75%             |
| Baroda BNP Paribas Credit Risk Fund                   | 1.20%                                       | 1.20%                        | 3.60%             |
| Baroda BNP Paribas Banking and PSU Bond Fund          | 0.50%                                       | 0.50%                        | 1.50%             |
| Baroda BNP Paribas Gilt Fund                          | 0.25%                                       | 0.25%                        | 0.75%             |
| Baroda BNP Paribas NIFTY SDL December 2026 Index Fund | 0.30%                                       | 0.30%                        | 0.90%             |
| Baroda BNP Paribas NIFTY SDL December 2028 Index Fund | 0.30%                                       | 0.30%                        | 0.90%             |
| Baroda BNP Paribas Nifty 50 Index Fund                | 0.40%                                       | 0.30%                        | 1.20%             |
| Baroda BNP Paribas Nifty200 Momentum 30 Index Fund    | 0.70%                                       | 0.70%                        | 2.10%             |
| Baroda BNP Paribas NIFTY Midcap 150 Index Fund        | 0.60%                                       | 0.60%                        | 1.80%             |

\* Scheme reopened for subscription w.e.f . 01st July 2025

## **Baroda BNP Paribas MF Brokerage Terms & Conditions**

1. The attached structure is valid only for the period mentioned above to the distributors to whom it is specifically communicated.
2. The transactions will be subject to terms and conditions as mentioned in the Scheme Information Document (SID) & Statement of Additional Information (SAI) and shall be binding on the distributor. The Commission mentioned hereinabove is solely payable to AMFI / NISM certified distributors and can be changed by the AMC at its sole discretion without any prior intimation or notification.
3. In terms of SEBI/AMFI circulars/guidelines, the Channel Partners shall submit to the Mutual Fund all account opening and transaction documentation including Know Your Client, Power of Attorney (PoA), Account Opening Form, etc. in respect of investors/transactions through Channel Partner. Further, the payment of commission shall be made depending on the documentation completion status.
4. The commission structure communicated by the AMC from time to time is all inclusive i.e. inclusive of any cess, charges, taxes, etc. that may be applicable on the commission payable to the distributor. The distributor is responsible for discharge of his / her / its tax obligations. ( **Baroda BNP Paribas Mutual Fund GST Number : 27AAATB0509R1ZL**)
5. The said brokerage structure is based on the current TER permitted by SEBI, which is based on AUM slabs. Any change in TER caused either under the regulations or driven by material business consideration, may entail a change in the brokerage structure, including the annualized and long term trail. Such revised structure will be applicable to all future payments on old and new transactions with the date as may be communicated then. The same shall be binding on the distributors. Similarly, the current structure is based on AMFI's recent best practices guidelines with regards to commission payouts. Should there be any changes to the guidelines necessitating amendments to the extent desired by Baroda BNP Paribas MF, the structure would undergo change and the same will be communicated accordingly. The Distributor must reconcile their books regularly and notify AMC of any commission mismatch within 6 months of payment. If no dispute is raised within this timeframe, the commission paid is considered accepted as the final payable amount to the distributor
6. The total distributor commission shall be the aggregate of upfront commission (as maybe permissible by SEBI from time to time- currently only for SIP inflows to new to MF PAN numbers), Trail commission and additional trail by way of R & R spends (construed as additional trail), additional incentive, if any. The total commission shall not exceed the distributable TER as mentioned in the AMFI circular dated March 26, 2015 as maybe amended from time to time.
7. Commission will be paid out only after the distributor is empanelled with the AMC. Further, if the total commission amount accrued is less than INR 300, then such commission will be withheld and be payable once the accrual is INR 300 or more.
8. SIP/STP instalment brokerage rate prevalent at the time of trigger of instalment is applied and not the date of registration.
9. AMC reserves the right to clawback or withhold any future commission payments for various reasons including non/incorrect submission of GSTN details to AMC or for any liability, tax, interest, penalty, charges etc. arising on account of non-compliance of GST Laws, non-adherence to code of conduct laid down by AMFI or as per instructions of AMFI/SEBI.

| LOAD AND BROKERAGE STRUCTURE FOR LUMP SUM & SIP/STP INVESTMENTS                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                      |                                        |                                                        |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|--------------------------------------------------------|
| (01ST JULY 2025 – 30TH SEPTEMBER 2025)                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                      |                                        |                                                        |
| Product                                                                                                                                                                                                                                                                   | Exit Load Structure<br>NIL load after the stated load period against each product                                                                                                                                                                                                    | Trail 1st Year (Day 1 to 365 days) (%) | Trail Year 2 onwards (Trail from 366 Days onwards) (%) |
| <b>EQUITY FUNDS</b>                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                      |                                        |                                                        |
| Canara Robeco Infrastructure                                                                                                                                                                                                                                              | 1% if redeemed / switched-out within 1 year from the date of allotment.                                                                                                                                                                                                              | 1.35                                   | 1.35                                                   |
| Canara Robeco Consumer Trends Fund                                                                                                                                                                                                                                        | 1.00% if redeemed /switched out within one year from the date of allotment                                                                                                                                                                                                           | 1.35                                   | 1.35                                                   |
| Canara Robeco Manufacturing Fund                                                                                                                                                                                                                                          | 1% - if redeemed/switched out within 365 days from the date of allotment.                                                                                                                                                                                                            | 1.35                                   | 1.25                                                   |
| Canara Robeco Balanced Advantage Fund                                                                                                                                                                                                                                     | 1% - if redeemed/switched out above 12% of allotted units within 365 days from the date of allotment. Nil - if redeemed/switched out upto 12% of allotted units within 365 days from the date of allotment. Nil - if redeemed/switched out after 365 days from the date of allotment | 1.35                                   | 1.25                                                   |
| Canara Robeco Multi Asset Allocation Fund                                                                                                                                                                                                                                 | 1% - if redeemed/switched out above 12% of allotted units within 365 days from the date of allotment. Nil - if redeemed/switched out upto 12% of allotted units within 365 days from the date of allotment, Nil - if redeemed/switched out after 365 days from the date of allotment | 1.35                                   | 1.25                                                   |
| Canara Robeco Value Fund                                                                                                                                                                                                                                                  | 1.00% - if redeemed/switched out within 365 days from the date of allotment.                                                                                                                                                                                                         | 1.35                                   | 1.25                                                   |
| Canara Robeco Focused Fund                                                                                                                                                                                                                                                | 1.00% - if redeemed/switched out within 365 days from the date of allotment.                                                                                                                                                                                                         | 1.20                                   | 1.20                                                   |
| Canara Robeco Mid Cap Fund                                                                                                                                                                                                                                                | 1.00% - if redeemed/switched out within 365 days from the date of allotment.                                                                                                                                                                                                         | 1.20                                   | 1.10                                                   |
| Canara Robeco Multi Cap Fund                                                                                                                                                                                                                                              | 1% - if redeemed/switched out within 365 days from the date of allotment.                                                                                                                                                                                                            | 1.20                                   | 1.10                                                   |
| Canara Robeco Equity Hybrid Fund                                                                                                                                                                                                                                          | For any redemption / switch out more than 10% of units within 1 Year from the date of allotment - 1%. For any redemption / switch out upto 10% of units within 1 Year from the date of allotment - Nil.                                                                              | 1.05                                   | 1.05                                                   |
| Canara Robeco Small Cap Fund                                                                                                                                                                                                                                              | 1.00% if redeemed / switched-out within 1 year from the date of allotment.                                                                                                                                                                                                           | 1.05                                   | 1.05                                                   |
| Canara Robeco ELSS Tax Saver                                                                                                                                                                                                                                              | 3 year lock in                                                                                                                                                                                                                                                                       | 1.00                                   | 1.00                                                   |
| Canara Robeco Flexi Cap Fund                                                                                                                                                                                                                                              | 1.00% if redeemed /switched out within one year from the date of allotment                                                                                                                                                                                                           | 1.00                                   | 1.00                                                   |
| Canara Robeco Large Cap Fund                                                                                                                                                                                                                                              | 1% if redeemed / switched-out within 1 year from the date of allotment.                                                                                                                                                                                                              | 1.00                                   | 1.00                                                   |
| Canara Robeco Large and Mid Cap Fund                                                                                                                                                                                                                                      | 1% if redeemed / switched-out within 1 year from the date of allotment.                                                                                                                                                                                                              | 0.95                                   | 0.95                                                   |
| <b>DEBT FUNDS</b>                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                      |                                        |                                                        |
| Canara Robeco Income Fund                                                                                                                                                                                                                                                 | Nil                                                                                                                                                                                                                                                                                  | 1.35                                   | 1.35                                                   |
| Canara Robeco Conservative Hybrid Fund                                                                                                                                                                                                                                    | For any redemption / switch out more than 10% of units within 1 Year from the date of allotment - 1%. For any redemption / switch out upto 10% of units within 1 Year from the date of allotment - Nil.                                                                              | 1.25                                   | 1.25                                                   |
| Canara Robeco Dynamic Bond Fund                                                                                                                                                                                                                                           | Nil                                                                                                                                                                                                                                                                                  | 1.25                                   | 1.25                                                   |
| Canara Robeco Gilt Fund                                                                                                                                                                                                                                                   | Nil                                                                                                                                                                                                                                                                                  | 0.90                                   | 0.90                                                   |
| Canara Robeco Short Duration Fund                                                                                                                                                                                                                                         | Nil                                                                                                                                                                                                                                                                                  | 0.75                                   | 0.75                                                   |
| Canara Robeco Corporate Bond Fund                                                                                                                                                                                                                                         | Nil                                                                                                                                                                                                                                                                                  | 0.75                                   | 0.75                                                   |
| Canara Robeco Banking and PSU Debt Fund                                                                                                                                                                                                                                   | Nil                                                                                                                                                                                                                                                                                  | 0.45                                   | 0.45                                                   |
| Canara Robeco Savings Fund                                                                                                                                                                                                                                                | Nil                                                                                                                                                                                                                                                                                  | 0.35                                   | 0.35                                                   |
| <b>MONEY MARKET FUNDS</b>                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                      |                                        |                                                        |
| Canara Robeco Ultra Short Term Fund                                                                                                                                                                                                                                       | Nil                                                                                                                                                                                                                                                                                  | 0.65                                   | 0.65                                                   |
| Canara Robeco Liquid Fund                                                                                                                                                                                                                                                 | If redeemed on Day 1: 0.0070%, Day 2: 0.0065%, Day 3: 0.0060%, Day 4: 0.0055%, Day 5: 0.0050%, Day 6: 0.0045%, on or after Day 7: Exit Load - NIL                                                                                                                                    | 0.12                                   | 0.12                                                   |
| Canara Robeco Overnight Fund                                                                                                                                                                                                                                              | Nil                                                                                                                                                                                                                                                                                  | 0.01                                   | 0.01                                                   |
| <i>Subject to changes in exit load to be effective prospectively</i>                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                      |                                        |                                                        |
| Brokerage Structure is inclusive of all Taxes.                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                      |                                        |                                                        |
| Brokerage payable on Switches: For switch out from one Equity / Equity Hybrid Fund / Debt Schemes to another Equity / Equity Hybrid Fund / Debt Schemes, applicable exit load shall be charged and hence the applicable brokerage rates are payable in switch in schemes. |                                                                                                                                                                                                                                                                                      |                                        |                                                        |
| SIP/STP Brokerage: The brokerage structure given for SIP/STP transaction is as per SIP/STP Trade Date.                                                                                                                                                                    |                                                                                                                                                                                                                                                                                      |                                        |                                                        |

## TERMS AND CONDITIONS

## 1. General:

- The Brokerage Structure set out in the table above is applicable till the specified time period or until the AUM of a scheme crosses the Total Expense Ratio (TER) threshold prescribed by SEBI. CRAMC reserves the right to revise the commission rates on existing assets as well as fresh inflows in accordance with TER levels prescribed by SEBI from time to time.
- Brokerage payment will be made through Direct Credit/NEFT only. Distributors whose complete bank mandates are NOT available are required to furnish the bank mandate along with a cancelled cheque or a copy of cheque at the earliest for Direct Credit / NEFT transfer of brokerages. We encourage you to opt for Direct Credit/NEFT mode of payment so that you receive your brokerage faster & more efficiently. If correct or complete bank details are not furnished, brokerage payout will be released annually in the month of March (close of financial year) every year and the threshold limit will be Rs.50/- for payment in Physical Mode (Pay order/DD) annually. Brokerage Statements will be sent by email only.
- Brokerage Structure is inclusive of all Taxes.
- The Brokerage Structure mentioned herein is payable only to AMFI certified distributors and those Empanelled with Canara Robeco Mutual Fund ("CRMF").
- No Commission will become payable on Direct Plans or transactions with invalid ARN codes or if the ARNs are suspended/ debarred/ EUIN not available/ incomplete KYC/ own investments.
- The annualized (trail) brokerage will be computed on the outstanding Average AUM and paid monthly.
- CRMF reserves the right to change, withdraw and / or amend, the terms and conditions stated herein.
- CRMF also reserves the right to change the brokerage structure at any time. The modified structure will be communicated to MFD, which will be applicable for future mobilizations from the effective date.
- The Brokerage Structure mentioned above will be subject to prevailing regulations and guidelines.
- CRMF reserves the right to withhold commission in the event of breach of any of the terms and conditions contained herein/Empanelment Form or non-compliance of SEBI Regulations/ AMFI Guidelines.
- In case of switch from one Scheme to another, exit load as applicable to the Switch-out Scheme will be charged, while the brokerage becomes payable as per the "Switch-in Scheme". Please refer to the latest applicable Exit load and the Scheme related documents of the respective schemes.
- The brokerage structure given for SIP/STP transactions is as per SIP/STP Trade Date.
- Static distributor details like Change of Address/Self Declaration/Renewal/Contact details must be submitted by the Distributor/s directly to AMFI Unit of CAMS or CAMS Service Centre in compliance of the rules laid down by AMFI (as per "Central Distributor Services" which became operational w.e.f Jan 15, 2013). These static details or any changes thereto shall not be sent to individual CRAMCs/R&TAs. However, Bank details may be required to be updated separately with each AMC for payment of commission.
- Distributors shall ensure that the ARN and the EUIN numbers are clearly mentioned on all applications/ subscriptions procured by them, to ensure prompt and accurate processing & payment of Brokerage.
- In case of "Change of Broker Code" requests given by investors, as per AMFI circular no.112/2023-24 dated 5-Mar-2024, no brokerage is payable to new distributor for the cooling-off period of first six months (180 days) from the date of change of distributor code in the folio/database. Brokerage becomes payable only from the 181st day, either at the existing rate applicable to the previous distributor or at the rate applicable to new distributor on the date of change of distributor code, whichever is LOWER.

## Note:

Distributors are advised to check the amount of brokerage paid and if any discrepancy or error in computation/payment is observed, the same shall be notified to RTA/AMC within 30 days from the date of remittance/payment, failing which, it shall be deemed that the payment made by RTA/AMC is correct.

## 2. B-30:

As per the advice from SEBI/AMFI, B30 incentive structure is kept in abeyance with effect from March 01, 2023, until further notice.

## 3. SEBI/AMFI Regulations and Guidelines:

- The above-mentioned brokerage structure is subject to applicable SEBI regulations/ AMFI Circulars as amended from time to time.
- Brokerage structure is subject to any amendments/modifications as the AMC may carry out at its sole discretion in response to any regulatory/statutory changes in this regard.
- In terms of SEBI/AMFI circulars/guidelines, the Distributors shall adhere to all applicable regulations/guidelines relating to, but not limited to:

1. KYC norms including requisite documentation for account opening and to carry out further transactions.

2. Know Your Distributor (KYD) norms for Mutual Fund Distributors, which are applicable for fresh ARN registrations and ARN renewals. All ARN holders are required to comply with these norms failing which AMCs have been mandated to suspend payment of commission till the distributors comply with the requirements. All the Distributors / Advisors are encouraged to complete the KYD requirements at the earliest. The KYD Forms and Process Note are available on AMFI website: [www.amfiindia.com](http://www.amfiindia.com).

3. Code of Conduct and other guidelines issued by AMFI from time to time. Distributors shall, at all times, comply with and adhere to the code of conduct prescribed by AMFI including any amendments thereto from time to time. Distributors are encouraged to go through the "Ready Reckoner for MFDs" released by AMFI to keep themselves informed about AMFI guidelines on crucial business processes.

4. Advertisement guidelines issued by SEBI from time to time. Distributor shall not make representations/ statements concerning the units of the schemes other than what is contained in the current SID(s), Key Information Memorandum and printed information issued by CRMF/ CRAMC as information supplemental to such documents. Distributor shall only use such advertising / sales material for distributing / selling activities as provided and approved by CRAMC. Distributors shall not indulge in any kind of malpractice or unethical practice to sell, market or induce any investor to buy Canara Robeco Mutual Fund units which may directly / indirectly impact CRMF / CRAMC in any manner.

The AMC reserves the right to reject any application for investment in case the Distributor/applicant fails to submit information and/or documentation as mentioned above.

The terms and conditions set out hereinabove shall be read in conjunction with the terms and conditions contained in the Empanelment Form/Distribution Agreement.

\*\*\*\*\*

For Edelweiss Asset Management Limited  
Empanelled Distributors only

Date: 01-Jul-2025

Structure ref: PT.

Dear Partner,

It gives us immense pleasure to share with you the brokerage structure for the quarter 01<sup>st</sup> Jul -2025 to 30<sup>th</sup> Sep-2025. We look forward to your support and continued patronage of our funds.

| Load and Brokerage Structure for 01-Jul-2025 to 30-Sep-2025            |                                                                                                                        |              |              |                      |  |
|------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|--------------|--------------|----------------------|--|
| Scheme Name                                                            | Exit Load                                                                                                              | Trail Year 1 | Trail Year 2 | Trail Year 3 Onwards |  |
| Equity Funds - Long Only                                               |                                                                                                                        |              |              |                      |  |
| Edelweiss Flexi Cap Fund                                               | Exit load of 1% if redeemed within 90 Days                                                                             | 1.20%        | 1.20%        | 1.20%                |  |
| Edelweiss Large & Mid Cap Fund                                         | Exit load of 1% if redeemed within 90 Days                                                                             | 1.20%        | 1.20%        | 1.20%                |  |
| Edelweiss Large Cap Fund                                               | Exit load of 1% if redeemed within 90 Days                                                                             | 1.40%        | 1.40%        | 1.40%                |  |
| Edelweiss ELSS Tax saver Fund                                          | Nil, Subject to 3 Years Lock-in                                                                                        | 1.65%        | 1.65%        | 1.65%                |  |
| Edelweiss Mid Cap Fund                                                 | Exit load of 1% if redeemed within 90 Days                                                                             | 0.95%        | 0.95%        | 0.95%                |  |
| Edelweiss Recently Listed IPO Fund                                     | Exit load of 2% if redeemed within 6 months                                                                            | 1.30%        | 1.30%        | 1.30%                |  |
| Edelweiss Small Cap Fund                                               | Exit load of 1% if redeemed within 90 Days                                                                             | 1.10%        | 1.10%        | 1.10%                |  |
| Edelweiss Focused Equity Fund                                          | Exit load of 1% if redeemed within 90 Days                                                                             | 1.30%        | 1.30%        | 1.30%                |  |
| Edelweiss Multi cap Fund                                               | Exit load of 1% if redeemed within 90 Days                                                                             | 1.20%        | 1.20%        | 1.20%                |  |
| Edelweiss Technology Fund                                              | Exit load of 1% if redeemed within 90 Days                                                                             | 1.30%        | 1.30%        | 1.30%                |  |
| Edelweiss Business Cycle Fund                                          | Exit load of 1% if redeemed within 90 Days                                                                             | 1.20%        | 1.20%        | 1.20%                |  |
| Edelweiss Consumption Fund                                             | Exit load of 1% if redeemed within 90 Days                                                                             | 1.50%        | 1.50%        | 1.50%                |  |
| Equity Funds – Index                                                   |                                                                                                                        |              |              |                      |  |
| Edelweiss NIFTY Large Mid Cap 250 Index Fund                           | Nil                                                                                                                    | 0.65%        | 0.65%        | 0.65%                |  |
| Edelweiss Nifty 50 Index Fund                                          | Nil                                                                                                                    | 0.40%        | 0.40%        | 0.40%                |  |
| Edelweiss Nifty 100 Quality 30 Index Fund                              | Nil                                                                                                                    | 0.60%        | 0.60%        | 0.60%                |  |
| Edelweiss MSCI India Domestic & World Healthcare 45 Index Fund         | Nil                                                                                                                    | 0.60%        | 0.60%        | 0.60%                |  |
| Edelweiss Gold and Silver ETF Fund of Fund                             | Exit load of 0.10% if redeemed within 15 days, thereafter Nil                                                          | 0.35%        | 0.35%        | 0.35%                |  |
| Edelweiss Nifty Midcap150 Momentum 50 Index Fund                       | Nil                                                                                                                    | 0.60%        | 0.60%        | 0.60%                |  |
| Edelweiss Nifty Next 50 Index Fund                                     | Nil                                                                                                                    | 0.65%        | 0.65%        | 0.65%                |  |
| Edelweiss Nifty Small cap 250 Index Fund                               | Nil                                                                                                                    | 0.65%        | 0.65%        | 0.65%                |  |
| Edelweiss Nifty Alpha Low Volatility 30 Index Fund                     | Exit load of 0.10% if redeemed/switched out within 15 days, thereafter Nil                                             | 0.65%        | 0.65%        | 0.65%                |  |
| Edelweiss Nifty500 Multicap Momentum Quality 50 Index Fund             | Exit load of 0.10% if redeemed/switched out within 30 days, thereafter Nil                                             | 0.65%        | 0.65%        | 0.65%                |  |
| Edelweiss BSE Internet Economy Index Fund                              | Exit load of 0.10% if redeemed/switched out within 15 days, thereafter Nil                                             | 0.65%        | 0.65%        | 0.65%                |  |
| Hybrid Funds                                                           |                                                                                                                        |              |              |                      |  |
| Edelweiss Balance Advantage Fund                                       | Nil for 10% of Units Allotted, For remaining units 1% on or before 90 days, thereafter nil.                            | 1.00%        | 1.00%        | 1.00%                |  |
| Edelweiss Equity Savings Fund                                          | Nil                                                                                                                    | 0.90%        | 0.90%        | 0.90%                |  |
| Edelweiss Aggressive Hybrid Fund                                       | Nil for 10% of Units Allotted, For remaining units 1% on or before 90 days, thereafter nil.                            | 1.20%        | 1.20%        | 1.20%                |  |
| Hybrid – Low Volatility Funds                                          |                                                                                                                        |              |              |                      |  |
| Edelweiss Arbitrage Fund                                               | Exit load of 0.10%, if redeemed within 30 days                                                                         | 0.65%        | 0.65%        | 0.65%                |  |
| Edelweiss Multi Asset Allocation Fund                                  | Exit load of 0.10% if redeemed/switched out within 30 Days, thereafter nil                                             | 0.35%        | 0.35%        | 0.35%                |  |
| Fixed Income Funds                                                     |                                                                                                                        |              |              |                      |  |
| Edelweiss Money Market Fund                                            | Nil                                                                                                                    | 0.60%        | 0.60%        | 0.60%                |  |
| Edelweiss Government Securities Fund                                   | Nil                                                                                                                    | 0.70%        | 0.70%        | 0.70%                |  |
| Edelweiss Low Duration Fund                                            | Nil                                                                                                                    | 0.75%        | 0.75%        | 0.75%                |  |
| Edelweiss Banking and PSU Debt Fund                                    | Nil                                                                                                                    | 0.40%        | 0.40%        | 0.40%                |  |
| Edelweiss Liquid Fund                                                  | If redeemed within- 0.0070% 1day, 0.0065% - 2days, 0.0060% - 3days, 0.0055% - 4days,0.0050% - 5 days, 0.0045% - 6 days | 0.05%        | 0.05%        | 0.05%                |  |
| Edelweiss Overnight Fund                                               | Nil                                                                                                                    | 0.05%        | 0.05%        | 0.05%                |  |
| Fixed Income Funds – Index                                             |                                                                                                                        |              |              |                      |  |
| Edelweiss CRISIL PSU Plus SDL 50:50 Oct 2025 Index Fund                | Exit load of 0.10% if redeemed within 30 days                                                                          | 0.15%        | 0.15%        | 0.15%                |  |
| Edelweiss NIFTY PSU Bond Plus SDL Apr – 2026 50:50 Index Fund          | Exit load of 0.10% if redeemed within 30 days                                                                          | 0.15%        | 0.15%        | 0.15%                |  |
| Edelweiss NIFTY PSU Bond Plus SDL Apr – 2027 50:50 Index Fund          | Exit load of 0.15% if redeemed within 30 days                                                                          | 0.15%        | 0.15%        | 0.15%                |  |
| Edelweiss CRISIL IBX 50:50 Gilt Plus SDL June 2027 Index Fund          | Exit load of 0.10% if redeemed within 30 days                                                                          | 0.15%        | 0.15%        | 0.15%                |  |
| Edelweiss CRISIL IBX 50:50 Gilt Plus SDL Sep 2028 Index Fund           | Exit load of 0.10% if redeemed within 30 days                                                                          | 0.15%        | 0.15%        | 0.15%                |  |
| Edelweiss CRISIL IBX 50:50 Gilt Plus SDL April 2037 Index Fund         | Exit load of 0.10% if redeemed within 30 days                                                                          | 0.15%        | 0.15%        | 0.15%                |  |
| Edelweiss CRISIL IBX AAA Financial Services Bond – Jan 2028 Index Fund | Exit load of 0.10% if redeemed within 30 days                                                                          | 0.15%        | 0.15%        | 0.15%                |  |
| Edelweiss CRISIL-IBX AAA Bond NBFC-HFC - Jun 2027 Index Fund           | Exit load of 0.10% if redeemed within 30 days                                                                          | 0.15%        | 0.15%        | 0.15%                |  |
| Edelweiss CRISIL IBX 50:50 Gilt Plus SDL Short Duration Index Fund     | Nil                                                                                                                    | 0.35%        | 0.35%        | 0.35%                |  |

For Edelweiss Asset Management Limited

Empanelled Distributors only

| Load and Brokerage Structure for 01-Jul-2025 to 30-Sep-2025    |                                            |              |              |                      |  |
|----------------------------------------------------------------|--------------------------------------------|--------------|--------------|----------------------|--|
| Scheme Name                                                    | Exit Load                                  | Trail Year 1 | Trail Year 2 | Trail Year 3 Onwards |  |
| International Fund of Funds                                    |                                            |              |              |                      |  |
| Edelweiss ASEAN Equity Off-shore Fund                          | Exit load of 1% if redeemed within 90 Days | 0.90%        | 0.90%        | 0.90%                |  |
| Edelweiss Europe Dynamic Equity Off-shore Fund                 | Exit load of 1% if redeemed within 90 Days | 0.90%        | 0.90%        | 0.90%                |  |
| Edelweiss Emerging Markets Opportunities Equity Off-shore Fund | Exit load of 1% if redeemed within 90 Days | 0.90%        | 0.90%        | 0.90%                |  |
| Edelweiss Greater China Equity Off-shore Fund                  | Exit load of 1% if redeemed within 90 Days | 0.85%        | 0.85%        | 0.85%                |  |
| Edelweiss US Value Equity Offshore fund                        | Exit load of 1% if redeemed within 90 Days | 0.90%        | 0.90%        | 0.90%                |  |
| Edelweiss US Technology Equity Fund of Fund                    | Exit load of 1% if redeemed within 90 Days | 0.85%        | 0.85%        | 0.85%                |  |

Looking forward to a long term mutually beneficial relationship with you.

Thanking You



Deepak Jain – Head Sales

Terms and Conditions:

- The brokerage/commission/remuneration indicated above is on a gross basis, and inclusive of applicable GST and other statutory levies, from time to time.
  - Distributor has to registered GSTIN number on Karvymfs website.
- All commission shall be paid as Trail only and on monthly basis.
  - Exit Loads mentioned here are subject to change
- Edelweiss Asset Management Limited reserves the right to change the brokerage structure without any prior intimation.
- Please read the SAI, SID & Addendum of respective schemes carefully to confirm scheme details.
- The brokerage / commission / remuneration/incentive structure is subject to the terms and conditions mentioned in the Distributor’s Agreement and / or the Empanelment Form, as may be amended from time to time including any regulatory modifications thereof.
- Distributor will disclose to the Investor that no entry load will be charged for Purchase / additional purchase / switch-in transactions, registration under systematic Investment Plans / Systematics Transfer Plans of Edelweiss Mutual Fund.
- Distributor shall disclose to the Investor(s) all the brokerage / commission / remuneration / incentive (in the form of trail commission or any other mode) for the different competing Schemes from amongst which the Scheme of Edelweiss Mutual Fund is recommended to the Investor(s).
- Decision of AMC pertaining to brokerage calculation and other matters pertaining thereto shall be final & binding.
- On change of distributor (ARN Code) and on transfer of AUM from one distributor (ARN Code) to another distributor (ARN Code), the trail commission in respect of transferred assets will not be paid to Old distributor (ARN Holder) as well as new distributor (ARN Holder).

**Note:** As per the Know Your Distributor (KYD) norms introduced by AMFI, brokerage / commission will be paid only to such distributors who are KYD compliant Payment of brokerage /commission will be withheld if any distributor does not comply with the KYD requirement and the same will be released only after the distributor complies with the KYD requirement.

Please visit our website [www.edelweissmf.com](http://www.edelweissmf.com) or AMFI’s website [www.amfiindia.com](http://www.amfiindia.com) for further details.



FRANKLIN  
TEMPLETON

Distribution Remuneration Structure

The Commission rates mentioned below are for the business to be mobilized during the period 01-Jul-2025 to 30-Sep-2025

| Fund Type.Fund Name.Plan                                                  | Category                                       | Exit Load                                                                                                                                                                                         | Trail Applicable for T-30 & B-30 | Additional Trail for B-30 p.a. (applicable only for year 1) | Total T30 payout in Year 1 | Total B30 payout in Year 1 |
|---------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|-------------------------------------------------------------|----------------------------|----------------------------|
|                                                                           |                                                |                                                                                                                                                                                                   | Day 1 onwards(p.a.)              |                                                             |                            | (D+E)                      |
| "A"                                                                       | "B"                                            | "C"                                                                                                                                                                                               | "D"                              | "E"                                                         | "F"                        | "G"                        |
| A - EQUITY                                                                |                                                |                                                                                                                                                                                                   |                                  |                                                             |                            |                            |
| 1) TEMPLETON INDIA VALUE FUND (TIVF)                                      | VALUE FUND                                     | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.80                             | 0.00                                                        | 0.80                       | 0.80                       |
| 2) FRANKLIN INDIA TECHNOLOGY FUND (FITF)                                  | THEMATIC-TECHNOLOGY FUND                       | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.80                             | 0.00                                                        | 0.80                       | 0.80                       |
| 3) FRANKLIN INDIA OPPORTUNITIES FUND (FIOF)                               | THEMATIC-SPECIAL SITUATIONS FUND               | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.75                             | 0.00                                                        | 0.75                       | 0.75                       |
| 4) FRANKLIN ASIAN EQUITY FUND (FAEF)                                      | THEMATIC-ASIAN EQUITY FUND                     | 1.00% within 1 years of allotment                                                                                                                                                                 | 1.05                             | 0.00                                                        | 1.05                       | 1.05                       |
| 5) FRANKLIN INDIA DIVIDEND YIELD FUND (TIEIF)#                            | DIVIDEND YIELD FUND                            | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.80                             | 0.00                                                        | 0.80                       | 0.80                       |
| 6) FRANKLIN BUILD INDIA FUND (FBIF)                                       | THEMATIC-INFRASTRUCTURE FUND                   | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.80                             | 0.00                                                        | 0.80                       | 0.80                       |
| 7) FRANKLIN INDIA LARGE & MID CAP FUND (FIEAF)#                           | LARGE AND MID-CAP FUND                         | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.80                             | 0.00                                                        | 0.80                       | 0.80                       |
| 8) FRANKLIN INDIA MID CAP FUND (FIPF)#                                    | MID CAP                                        | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.75                             | 0.00                                                        | 0.75                       | 0.75                       |
| 9) FRANKLIN INDIA LARGE CAP FUND (FIBCF)#                                 | LARGE-CAP FUND                                 | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.75                             | 0.00                                                        | 0.75                       | 0.75                       |
| 10) FRANKLIN INDIA SMALL CAP FUND (FISCF)#                                | SMALL-CAP FUND                                 | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.75                             | 0.00                                                        | 0.75                       | 0.75                       |
| 11) FRANKLIN INDIA FOCUSED EQUITY FUND (FIFEF)                            | FOCUSED FUND                                   | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.75                             | 0.00                                                        | 0.75                       | 0.75                       |
| 12) FRANKLIN INDIA FLEXICAP FUND (FIFCF)                                  | FLEXI CAP FUND                                 | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.70                             | 0.00                                                        | 0.70                       | 0.70                       |
| 13) FRANKLIN INDIA INDEX FUND NSE NIFTY PLAN (FIIF)                       | INDEX-NIFTY                                    | 0.25% if the Units are redeemed/switched out within 7 days of allotment                                                                                                                           | 0.20                             | 0.00                                                        | 0.20                       | 0.20                       |
| 14) FRANKLIN INDIA MULTI CAP FUND (FIMCF)                                 | MULTI-CAP FUND                                 | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.80                             | 0.00                                                        | 0.80                       | 0.80                       |
| B - SECTION 80C FUNDS                                                     |                                                |                                                                                                                                                                                                   |                                  |                                                             |                            |                            |
| 1) FRANKLIN INDIA ELSS TAX SAVER FUND (FIT)                               | ELSS FUND                                      | NIL                                                                                                                                                                                               | 0.75                             | 0.00                                                        | 0.75                       | 0.75                       |
| 2) FRANKLIN INDIA RETIREMENT FUND (FIPEP)#                                | RETIREMENT FUND                                | 3.00% if redeemed before age of 58 years.( subject to lock in period & target amount) Nil after age of 58 years                                                                                   | 0.95                             | 0.00                                                        | 0.95                       | 0.95                       |
| C - HYBRID FUNDS                                                          |                                                |                                                                                                                                                                                                   |                                  |                                                             |                            |                            |
| 1) FRANKLIN INDIA ARBITRAGE FUND (FIAF)                                   | ARBITRAGE FUND                                 | 0.25% if the Units are redeemed/switched out within 30 days of allotment                                                                                                                          | 0.60                             | 0.00                                                        | 0.60                       | 0.60                       |
| 2) FRANKLIN INDIA CONSERVATIVE HYBRID FUND (FIDHF)* #                     | CONSERVATIVE HYBRID FUND                       | NIL                                                                                                                                                                                               | 0.65                             | 0.00                                                        | 0.65                       | 0.65                       |
| 3) FRANKLIN INDIA AGGRESSIVE HYBRID FUND (FIEHF)#                         | AGGRESSIVE HYBRID FUND                         | Upto 10% of the Units - 'NIL' within 1 year from the date of allotment. More than the above limit, 1.00% within 1 year from the date of allotment. 'Nil' after 1 year from the date of allotment  | 0.80                             | 0.00                                                        | 0.80                       | 0.80                       |
| 4) FRANKLIN INDIA EQUITY SAVINGS FUND (FIESF)*                            | EQUITY SAVINGS FUND                            | NIL                                                                                                                                                                                               | 0.55                             | 0.00                                                        | 0.55                       | 0.55                       |
| 5) FRANKLIN INDIA BALANCED ADVANTAGE FUND (FIBAF)                         | DYNAMIC ASSET ALLOCATION OR BALANCED ADVANTAGE | Upto 10% of the Units - 'NIL' within 1 year from the date of allotment. More than the above limit - 1.00% within 1 year from the date of allotment. 'Nil' after 1 year from the date of allotment | 0.90                             | 0.00                                                        | 0.90                       | 0.90                       |
| D - FIXED INCOME FUNDS                                                    |                                                |                                                                                                                                                                                                   |                                  |                                                             |                            |                            |
| 1) FRANKLIN INDIA LONG DURATION FUND (FILDR)                              | LONG DURATION FUND                             | NIL                                                                                                                                                                                               | 0.35                             | 0.00                                                        | 0.35                       | 0.35                       |
| 2) FRANKLIN INDIA MEDIUM TO LONG DURATION FUND (FIMLDF)                   | MEDIUM AND LONG DURATION FUND                  | NIL                                                                                                                                                                                               | 0.35                             | 0.00                                                        | 0.35                       | 0.35                       |
| 3) FRANKLIN INDIA LOW DURATION FUND (FILWD)                               | LOW DURATION FUND                              |                                                                                                                                                                                                   | 0.55                             | 0.00                                                        | 0.55                       | 0.55                       |
| 4) FRANKLIN INDIA GOVERNMENT SECURITIES FUND (FIGSF)                      | GILT FUND                                      | NIL                                                                                                                                                                                               | 0.50                             | 0.00                                                        | 0.50                       | 0.50                       |
| 5) FRANKLIN INDIA FLOATING RATE FUND (FIFRF)                              | FLOATER FUND                                   | NIL                                                                                                                                                                                               | 0.45                             | 0.00                                                        | 0.45                       | 0.45                       |
| 6) FRANKLIN INDIA CORPORATE DEBT FUND (FICDF)                             | CORPORATE BOND FUND                            | NIL                                                                                                                                                                                               | 0.45                             | 0.00                                                        | 0.45                       | 0.45                       |
| 7) FRANKLIN INDIA BANKING AND PSU DEBT FUND (FIBPDF)                      | BANKING & PSU FUND                             | NIL                                                                                                                                                                                               | 0.20                             | 0.00                                                        | 0.20                       | 0.20                       |
| 8) FRANKLIN INDIA MONEY MARKET FUND (FISPF)                               | MONEY MARKET FUND                              | NIL                                                                                                                                                                                               | 0.15                             | 0.00                                                        | 0.15                       | 0.15                       |
| 9) FRANKLIN INDIA OVERNIGHT FUND (FIONF)                                  | OVERNIGHT FUND                                 | NIL                                                                                                                                                                                               | 0.04                             | 0.00                                                        | 0.04                       | 0.04                       |
| 10) FRANKLIN INDIA ULTRA SHORT DURATION FUND (FIUSDF)                     | ULTRA SHORT DURATION FUND                      | NIL                                                                                                                                                                                               | 0.35                             | 0.00                                                        | 0.35                       | 0.35                       |
| 11) FRANKLIN INDIA LIQUID FUND (FILF)                                     | LIQUID FUND                                    | Redemption after allotment: Day 1 - 0.0070%, Day 2 - 0.0065%, Day 3 - 0.0060%, Day 4 - 0.0055%, Day 5 - 0.0050%, Day 6 - 0.0045%, Day 7 onwards - NIL                                             | 0.05                             | 0.00                                                        | 0.05                       | 0.05                       |
| E - INTERNATIONAL FUNDS                                                   |                                                |                                                                                                                                                                                                   |                                  |                                                             |                            |                            |
| 1) FRANKLIN U.S. OPPORTUNITIES EQUITY ACTIVE FUND OF FUNDS (FUSOF)        | FOF-OVERSEAS-US                                | 1.00% within 1 years of allotment                                                                                                                                                                 | 0.90                             | 0.00                                                        | 0.90                       | 0.90                       |
| F - FUND OF FUNDS                                                         |                                                |                                                                                                                                                                                                   |                                  |                                                             |                            |                            |
| 1) FRANKLIN INDIA INCOME PLUS ARBITRAGE ACTIVE FUND OF FUNDS (FIMAS)*     | FOF-DOMESTIC                                   | 1.00% within 3 years of allotment                                                                                                                                                                 | 0.90                             | 0.00                                                        | 0.90                       | 0.90                       |
| 2) FRANKLIN INDIA INCOME PLUS ARBITRAGE ACTIVE FUND OF FUNDS (FIMAS)*     |                                                |                                                                                                                                                                                                   | 0.30                             | 0.00                                                        | 0.30                       | 0.30                       |
| 3) FRANKLIN INDIA DYNAMIC ASSET ALLOCATION ACTIVE FUND OF FUNDS (FIDAAF)# | FOF-DOMESTIC                                   | Upto 10% of the Units - 'NIL' within 1 year from the date of allotment. More than the above limit, 1.00% within 1 year from the date of allotment. 'Nil' after 1 year from the date of allotment  | 0.90                             | 0.00                                                        | 0.90                       | 0.90                       |

\*Pricing for Franklin India Income Plus Arbitrage Active Fund of Funds (formerly Franklin India Multi-Asset Solution Fund, following its repositioning) will be applicable from July 1 to July 3, 2025 (three working days).

# To ensure alignment between the scheme names and their respective categories, the names of these schemes have been revised, effective July 11, 2025.

\*The exit load in respect of each purchase of Units of 'Franklin India Conservative Hybrid Fund' and 'Franklin India Equity Savings Fund' is applicable effective October 11, 2021. All prior investments continue to be subject to the load structure applicable at the time of the respective investment.

Applicable ARN Codes and their Distributor Names :

| ARN Code      | Distributor Name          |
|---------------|---------------------------|
| 1. ARN-118486 | HONEYBEE FINSERVE PVT LTD |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Note:-</b><br><b>1.</b> Any distribution of Mutual Fund units of Franklin Templeton Mutual Fund (FTMF) by distributors empaneled with FTMF/Franklin Templeton Asset Management (India) Pvt. Ltd (FTAMIL or AMC)(“Distributor/s”) is on voluntary basis and by distributing the units, the Distributor records its informed consent to comply with all the terms and conditions mentioned in this document as well as such other documents including empanelment form, code of conduct and various guidelines issued by SEBI and AMFI from time to time which is applicable to distributors of mutual fundsin connection with the distribution services provided to FTMF/FTAMIL.<br><b>2.</b> FTAMIL reserves absolute right and authority to change the Distribution Remuneration Structure applicable to existing as well as future assets contributed by the Distributor under their respective ARN, at its sole discretion. Any such change in the Distribution Remuneration Structure will be intimated to the Distributor by telephone/email/post/courier /text messages or such other medium of communication as may be preferred by FTAMIL.<br><b>3.</b> The computation of commission by FTMF’s Registrar and Transfer Agent will be considered to be final.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <b>Terms &amp; Conditions:-</b><br><b>Effective from October 22, 2018, FTMF has adopted, the model of commission, as directed by SEBI through circular nos. SEBI/HO/IMD/DF2/CIR/P/2018/137 as amended vide SEBI/HO/IMD/DF2/CIR/P/2019/42 dated March 25, 2019.</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>A. Applicability of T-30 and B-30 Cities for payment of Commission:</b><br><b>1.</b> This Distribution Remuneration Structure is applicable for lump sum & SIP investments.<br><b>2.</b> The T-30 and B-30 cities will be as per the PIN code list of cities provided by AMFI and as per AMFI’s Standard Procedure for tagging transactions to T- 30 cities / B- 30 cities. This list shall be subject to the periodic announcements made by AMFI in this regard.<br><b>3.</b> Investments by Non – Residential Indians (NRI) & other eligible Foreign investors will be considered as T – 30 business.<br><b>4.</b> Effective from October 22, 2018, additional payout for B30 business, will be paid on a monthly basis, as trail commission, based on inflows from retail investors from B 30 cities. As directed by SEBI through <b>circular no. SEBI/HO/IMD/DF2/CIR/P/2019/42 dated March 25, 2019</b> , inflows of amount up to INR 2,00,000 per transaction by individual investors shall be considered as inflows including switch-ins from ‘retail Investors’. Investment by corporates and institutions from B 30 cities will not be considered for additional payout for B30 business.<br><b>5.</b> For inter scheme switch transactions (between equity funds), B30 commission is considered from the switch transaction date, if applicable.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>B. Statutory / AMFI Driven Regulations:</b><br><b>1.</b> The rate of Commission mentioned in this document is inclusive of Goods and Service Tax (GST) at applicable rates, if any. As per the GST law effective in India from 1st July 2017, Distributors whose turnover in a financial year exceeds the prescribed threshold limit, are liable to register themselves and charge GST at the rates applicable. Every distributor providing taxable supply (of goods and / or services) must issue a tax invoice, containing all the details prescribed under the GST law. Where the distributor fails to ensure prescribed compliance appropriately/timely under the GST Law or in case where his rating goes below the prescribed limit or fails to raise a tax invoice, GST might be recovered from the amount paid to the distributor along with any penalty/ interest on account of such failure. For further clarification on applicability of GST, please consult your tax advisor.<br><b>2.</b> In case any assets under your ARN Code are transferred to another Distributor at the request of the Investor, you shall not be entitled to receive any trail commission on such assets. Further, the payments of trail commission on assets that are transferred from another Distributor to your ARN Code shall be subject to us receiving a “Clearance Certificate” from the previous Distributor. Please contact your Franklin Templeton Relationship Manager for further details.<br><b>3.</b> In case you do not change your name as may be required pursuant to amendments to SEBI (Investment Advisers) Regulations, 2013, your commission will be withheld as per directions issued by AMFI from time to time.<br><b>4.</b> The Distributor shall adhere to all applicable SEBI Regulations and more particularly SEBI circulars dated June 26, 2002 and August 27, 2009 on the Code of Conduct and other guidelines issued by AMFI from time to time for mutual fund distributors and ensure that (i) no rebate/ passback is given to investors in any form and (ii) do not split applications for any benefit.<br><b>5.</b> The payment of Commission shall depend on the documentation completion status as per the empanelment form.<br><b>6.</b> All ARN holders are required to comply with the norms related to Know Your Distributor (KYD) as per AMFI circular dated August 27, 2010. The payment of Commission shall be suspended till the Distributor complies with this requirement.<br><b>7.</b> This Commission structure including the terms and conditions are subject to guidelines / circulars issued by SEBI/AMFI from time to time and may be revised at any time on account of any regulatory/statutory changes impacting existing as well future assets contributed by the Distributor . Any revision to this Commission structure pursuant to regulatory/statutory changes will be communicated vide a revised Distribution Remuneration Structure which shall be effective from the date indicated in the said revised structure. |
| <b>C. Important Definitions :</b><br>“ <b>Commission</b> ” shall mean any payment due from Fund/FTAMIL as distribution fees or other fees to the Distributor for valid transactions executed through the Distributor under the Distributor’s ARN code and in respect of which final allotment of units has been made to the Customer.<br>“ <b>Distribution Remuneration Structure</b> ” shall mean the applicable fee/charges structure along with provisions for Commission, claw back and applicable taxes and deductions which shall from time to time be communicated to the Distributor through email/letters.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>Please refer to the Fund’s Scheme Information Document (SID) / Prospectus / Fund Factsheet for the minimum amounts for investments, exit loads and other statutory and fund related information. Please also refer to SEBI / AMFI Circulars on distributor commission/remuneration issued from time to time</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |

| (Lumpsum & SIP Investments) |                                                                                |              |                     |                                 |                             |
|-----------------------------|--------------------------------------------------------------------------------|--------------|---------------------|---------------------------------|-----------------------------|
| Proposed Category           | Scheme Name                                                                    | Exit Load(*) | 1st Yr. Trail (p.a) | 2nd Yr. to 3rd Yr. Trail (p.a.) | 4th Yr. Onwards Trail (p.a) |
| Equity                      |                                                                                |              |                     |                                 |                             |
| Large Cap                   | NIPPON INDIA LARGE CAP FUND                                                    | 7 Days       | 0.95%               | 0.95%                           | 0.90%                       |
| Large & Mid Cap             | NIPPON INDIA VISION FUND                                                       | 12 Months    | 1.35%               | 1.35%                           | 1.30%                       |
| Multi Cap                   | NIPPON INDIA MULTI CAP FUND                                                    | 12 Months    | 0.90%               | 0.90%                           | 0.75%                       |
| Focused Fund - Multi Cap    | NIPPON INDIA FOCUSED EQUITY FUND                                               | 12 Months    | 1.30%               | 1.30%                           | 1.25%                       |
| Mid Cap                     | NIPPON INDIA GROWTH FUND                                                       | 1 Month      | 1.00%               | 1.00%                           | 0.95%                       |
| Small Cap                   | NIPPON INDIA SMALL CAP FUND                                                    | 12 Months    | 0.90%               | 0.90%                           | 0.85%                       |
| Value Fund                  | NIPPON INDIA VALUE FUND                                                        | 12 Months    | 1.30%               | 1.30%                           | 1.25%                       |
| Flexi Cap                   | NIPPON INDIA FLEXI CAP FUND                                                    | 12 Months    | 1.22%               | 1.22%                           | 1.17%                       |
| Sectoral                    | NIPPON INDIA BANKING & FINANCIAL SERVICES FUND                                 | 1 Month      | 1.24%               | 1.24%                           | 1.19%                       |
|                             | NIPPON INDIA PHARMA FUND                                                       | 1 Month      | 1.23%               | 1.23%                           | 1.18%                       |
|                             | NIPPON INDIA ACTIVE MOMENTUM FUND                                              | 12 Months    | 1.00%               | 1.00%                           | 1.00%                       |
| Thematic                    | NIPPON INDIA CONSUMPTION FUND                                                  | 1 Month      | 1.40%               | 1.40%                           | 1.35%                       |
|                             | NIPPON INDIA POWER & INFRA FUND                                                | 1 Month      | 1.24%               | 1.24%                           | 1.19%                       |
|                             | NIPPON INDIA INNOVATION FUND                                                   | 12 Months    | 1.40%               | 1.40%                           | 1.35%                       |
| Quant                       | NIPPON INDIA QUANT FUND                                                        | 1 month      | 0.50%               | 0.50%                           | 0.50%                       |
| International               | NIPPON INDIA JAPAN EQUITY FUND                                                 | 12 Months    | 1.25%               | 1.25%                           | 1.20%                       |
|                             | NIPPON INDIA US EQUITYOPPORTUNITIES FUND                                       | 12 Months    | 1.24%               | 1.24%                           | 1.19%                       |
|                             | NIPPON INDIA TAIWAN EQUITY FUND                                                | 12 Months    | 1.17%               | 1.17%                           | 1.12%                       |
| Hybrid                      |                                                                                |              |                     |                                 |                             |
| Conservative Hybrid         | NIPPON INDIA HYBRID BOND FUND                                                  | 12 Months    | 1.30%               | 1.30%                           | 1.25%                       |
| Aggressive Hybrid           | NIPPON INDIA EQUITY HYBRID FUND                                                | 12 Months    | 1.33%               | 1.33%                           | 1.28%                       |
| Equity Savings              | NIPPON INDIA EQUITY SAVINGS FUND                                               | 1 Month      | 1.20%               | 1.20%                           | 1.15%                       |
| Balanced Advantage          | NIPPON INDIA BALANCED ADVANTAGE FUND                                           | 12 Months    | 1.22%               | 1.22%                           | 1.17%                       |
| Multi Asset                 | NIPPON INDIA MULTI ASSET ALLOCATION FUND                                       | 12 Months    | 1.10%               | 1.10%                           | 1.05%                       |
| Goal Based                  |                                                                                |              |                     |                                 |                             |
| ELSS                        | NIPPON INDIA TAX SAVER FUND                                                    | 3 yr lock in | 1.11%               | 1.11%                           | 1.06%                       |
| Retirement                  | NIPPON INDIA RETIREMENT FUND - WEALTH CREATION                                 | 5 yr lock in | 1.32%               | 1.32%                           | 1.27%                       |
|                             | NIPPON INDIA RETIREMENT FUND - INCOME GENERATION                               | 5 yr lock in | 1.44%               | 1.44%                           | 1.39%                       |
| Index & FOF                 |                                                                                |              |                     |                                 |                             |
| Index                       | Nippon India Index Fund - Nifty 50 Plan                                        | 7 days       | 0.30%               | 0.30%                           | 0.30%                       |
|                             | Nippon India Index Fund - S&P BSE Sensex Plan                                  | 7 days       | 0.35%               | 0.35%                           | 0.35%                       |
|                             | NIPPON INDIA NIFTY SMALL CAP 250 INDEX FUND                                    | NIL          | 0.65%               | 0.65%                           | 0.65%                       |
|                             | Nippon India Nifty Alpha Low Volatility 30 Index Fund                          | NIL          | 0.60%               | 0.60%                           | 0.60%                       |
|                             | NIPPON INDIA NIFTY 50 VALUE 20 INDEX FUND                                      | NIL          | 0.60%               | 0.60%                           | 0.60%                       |
|                             | NIPPON INDIA NIFTY MIDCAP 150 INDEX FUND                                       | NIL          | 0.60%               | 0.60%                           | 0.60%                       |
|                             | NIPPON INDIA NIFTY 500 EQUAL WEIGHT INDEX FUND                                 | NIL          | 0.75%               | 0.75%                           | 0.75%                       |
|                             | NIPPON INDIA NIFTY 500 MOMENTUM 50 INDEX FUND                                  | NIL          | 0.70%               | 0.70%                           | 0.70%                       |
|                             | NIPPON INDIA NIFTY IT INDEX FUND                                               | NIL          | 0.65%               | 0.65%                           | 0.65%                       |
|                             | NIPPON INDIA NIFTY BANK INDEX FUND                                             | NIL          | 0.65%               | 0.65%                           | 0.65%                       |
|                             | NIPPON INDIA NIFTY AUTO INDEX FUND                                             | NIL          | 0.60%               | 0.60%                           | 0.60%                       |
|                             | NIPPON INDIA NIFTY REALTY INDEX FUND                                           | NIL          | 0.60%               | 0.60%                           | 0.60%                       |
|                             | NIPPON INDIA NIFTY 500 LOW VOLATILITY 50 INDEX FUND                            | NIL          | 0.60%               | 0.60%                           | 0.60%                       |
|                             | NIPPON INDIA NIFTY 500 QUALITY 50 INDEX FUND                                   | NIL          | 0.60%               | 0.60%                           | 0.60%                       |
|                             | Nippon India Nifty AAA CPSE Bond Plus SDL - Apr 2027 Maturity 60-40 Index Fund | NIL          | 0.15%               | 0.15%                           | 0.15%                       |
|                             | NIPPON INDIA NIFTY AAA PSU BOND PLUS SDL - Sep 2026 Maturity 50:50 Index Fund  | NIL          | 0.20%               | 0.20%                           | 0.20%                       |
|                             | NIPPON INDIA NIFTY SDL PLUS G-Sec – Jun 2028 Maturity 70:30 Index Fund         | NIL          | 0.20%               | 0.20%                           | 0.20%                       |
|                             | NIPPON INDIA NIFTY SDL PLUS G-Sec – Jun 2029 Maturity 70:30 Index Fund         | NIL          | 0.15%               | 0.15%                           | 0.15%                       |
|                             | NIPPON INDIA NIFTY G-Sec – Sep 2027 MATURITY INDEX FUND                        | NIL          | 0.15%               | 0.15%                           | 0.15%                       |
|                             | NIPPON INDIA NIFTY G-Sec – Jun 2036 MATURITY INDEX FUND                        | NIL          | 0.20%               | 0.20%                           | 0.20%                       |
|                             | NIPPON INDIA NIFTY G-Sec – Oct 2028 MATURITY INDEX FUND                        | NIL          | 0.15%               | 0.15%                           | 0.15%                       |
|                             | NIPPON INDIA CRISIL - IBX AAA Financial Services - Dec 2026 Index Fund         | NIL          | 0.20%               | 0.20%                           | 0.20%                       |
|                             | NIPPON INDIA CRISIL - IBX AAA Financial Services - Jan 2028 Index Fund         | NIL          | 0.20%               | 0.20%                           | 0.20%                       |
|                             | Nippon India BSE Sensex Next 30 Index Fund                                     | NIL          | 0.35%               | 0.35%                           | 0.35%                       |
| FOF                         | NIPPON INDIA MULTI ASSET ACTIVE FoF                                            | 12 Months    | 1.05%               | 1.05%                           | 1.05%                       |
|                             | NIPPON INDIA PASSIVE FLEXICAP FoF                                              | NIL          | 0.35%               | 0.35%                           | 0.35%                       |
|                             | Nippon India Nifty Next 50 Junior BeES FoF                                     | NIL          | 0.17%               | 0.17%                           | 0.17%                       |
|                             | NIPPON INDIA GOLD SAVINGS FUND                                                 | 15 days      | 0.30%               | 0.30%                           | 0.30%                       |
|                             | NIPPON INDIA SILVER ETF FoF                                                    | 15 days      | 0.30%               | 0.30%                           | 0.30%                       |
|                             | Nippon India Income Plus Arbitrage Active FoF                                  | NIL          | 0.25%               | 0.25%                           | 0.25%                       |
| Debt                        |                                                                                |              |                     |                                 |                             |
| Corporate Bond              | NIPPON INDIA CORPORATE BOND FUND                                               | NIL          | 0.45%               | 0.45%                           | 0.45%                       |
| Short Duration Fund         | NIPPON INDIA SHORT TERM FUND                                                   | NIL          | 0.70%               | 0.70%                           | 0.70%                       |
| Long Duration Fund          | NIPPON INDIA NIVESH LAKSHYA FUND                                               | 1 Month      | 0.35%               | 0.35%                           | 0.35%                       |
| Banking & PSU               | NIPPON INDIA BANKING & PSU FUND                                                | NIL          | 0.45%               | 0.45%                           | 0.45%                       |
| Floater                     | NIPPON INDIA FLOATING RATE FUND                                                | NIL          | 0.35%               | 0.35%                           | 0.35%                       |
| Credit Risk                 | NIPPON INDIA CREDIT RISK FUND                                                  | 12 Months    | 1.00%               | 1.00%                           | 1.00%                       |
| Medium Duration             | NIPPON INDIA STRATEGIC DEBT FUND                                               | 12 Months    | 0.70%               | 0.70%                           | 0.70%                       |
| Dynamic Bond                | NIPPON INDIA DYNAMIC BOND FUND                                                 | NIL          | 0.45%               | 0.45%                           | 0.45%                       |
| Medium & Long Duration      | NIPPON INDIA INCOME FUND                                                       | NIL          | 1.05%               | 1.05%                           | 0.85%                       |
| Gilt                        | NIPPON INDIA GILT SECURITIES FUND                                              | 7 Days       | 0.90%               | 0.90%                           | 0.90%                       |
| Arbitrage                   |                                                                                |              |                     |                                 |                             |
| Arbitrage                   | NIPPON INDIA ARBITRAGE FUND                                                    | 1 month      | 0.75%               | 0.75%                           | 0.75%                       |
| Liquid/Ultra Liquid         |                                                                                |              |                     |                                 |                             |
| Low Duration Fund           | NIPPON INDIA LOW DURATION FUND                                                 | NIL          | 0.65%               | 0.65%                           | 0.65%                       |
| Liquid Fund                 | NIPPON INDIA LIQUID FUND                                                       | 7 Days       | 0.10%               | 0.10%                           | 0.10%                       |
| Liquid Fund                 | NIPPON INDIA OVERNIGHT FUND                                                    | NIL          | 0.07%               | 0.07%                           | 0.07%                       |
| Money Market                | NIPPON INDIA MONEY MARKET FUND                                                 | NIL          | 0.15%               | 0.15%                           | 0.15%                       |
| Ultra Short Duration        | NIPPON INDIA ULTRA SHORT DURATION FUND                                         | NIL          | 0.75%               | 0.75%                           | 0.75%                       |

Please refer annexure for detailed terms & conditions.

(\*)Kindly refer SID

In case of any regulatory change or Management decision with respect to expense ratio or reduction in TER due to increase in scheme size, the brokerage structure will be tweaked accordingly from the date of change on all assets including SIPs/STPs.

Commission Structure for HSBC Mutual Fund

01-Jul-2025 to 30-Sep-2025

| (For Lump sum, SIP & STP Investment)                    |                            |             |          |          |          |                  |
|---------------------------------------------------------|----------------------------|-------------|----------|----------|----------|------------------|
| Scheme Name                                             | Investment Period          | Trailer_Fee |          |          |          |                  |
|                                                         |                            | 1st_Year    | 2nd_Year | 3rd_Year | 4th_Year | 5th_Year_Onwards |
| HSBC Aggressive Hybrid Fund                             | 01-Jul-2025 to 30-Sep-2025 | 1.25        | 1.25     | 1.25     | 1.15     | 1.15             |
| HSBC Balanced Advantage Fund                            |                            | 1.45        | 1.45     | 1.45     | 1.35     | 1.35             |
| HSBC Business Cycles Fund                               |                            | 1.45        | 1.45     | 1.45     | 1.35     | 1.35             |
| HSBC Conservative Hybrid Fund                           |                            | 1.45        | 1.45     | 1.45     | 1.35     | 1.35             |
| HSBC Consumption Fund                                   |                            | 1.45        | 1.45     | 1.45     | 1.35     | 1.35             |
| HSBC ELSS Tax Saver Fund                                |                            | 1.25        | 1.25     | 1.25     | 1.15     | 1.15             |
| HSBC Equity Savings Fund                                |                            | 1.00        | 1.00     | 1.00     | 1.00     | 1.00             |
| HSBC Financial Services Fund                            |                            | 1.45        | 1.45     | 1.45     | 1.35     | 1.35             |
| HSBC Flexi Cap Fund                                     |                            | 1.25        | 1.25     | 1.25     | 1.15     | 1.15             |
| HSBC Focused Fund                                       |                            | 1.45        | 1.45     | 1.45     | 1.35     | 1.35             |
| HSBC India Export Opportunities Fund                    |                            | 1.45        | 1.45     | 1.45     | 1.35     | 1.35             |
| HSBC Infrastructure Fund                                |                            | 1.35        | 1.35     | 1.35     | 1.25     | 1.25             |
| HSBC Large & Mid Cap Fund                               |                            | 1.25        | 1.25     | 1.25     | 1.15     | 1.15             |
| HSBC Large Cap Fund                                     |                            | 1.35        | 1.35     | 1.35     | 1.25     | 1.25             |
| HSBC Midcap Fund                                        |                            | 1.15        | 1.15     | 1.15     | 1.05     | 1.05             |
| HSBC Multi Asset Allocation fund                        |                            | 1.30        | 1.30     | 1.30     | 1.20     | 1.20             |
| HSBC Multi Cap Fund                                     |                            | 1.25        | 1.25     | 1.25     | 1.15     | 1.15             |
| HSBC Small Cap Fund                                     |                            | 1.15        | 1.15     | 1.15     | 1.05     | 1.05             |
| HSBC Value Fund                                         |                            | 1.15        | 1.15     | 1.15     | 1.05     | 1.05             |
| HSBC Arbitrage Fund                                     |                            | 0.65        | 0.65     | 0.65     | 0.65     | 0.65             |
| HSBC CRISIL IBX 50:50 Gilt Plus SDL Apr 2028 Index Fund |                            | 0.20        | 0.20     | 0.20     | 0.20     | 0.20             |
| HSBC Crisil IBX Gilt June 2027 Index Fund               |                            | 0.25        | 0.25     | 0.25     | 0.25     | 0.25             |
| HSBC Nifty 50 Index Fund                                |                            | 0.20        | 0.20     | 0.20     | 0.20     | 0.20             |
| HSBC Nifty Next 50 Index Fund                           |                            | 0.25        | 0.25     | 0.25     | 0.25     | 0.25             |
| HSBC Banking and PSU Debt Fund                          |                            | 0.35        | 0.35     | 0.35     | 0.35     | 0.35             |
| HSBC Corporate Bond Fund                                |                            | 0.33        | 0.33     | 0.33     | 0.33     | 0.33             |
| HSBC Credit Risk Fund                                   |                            | 0.70        | 0.70     | 0.70     | 0.70     | 0.70             |
| HSBC Dynamic Bond Fund                                  |                            | 0.40        | 0.40     | 0.40     | 0.40     | 0.40             |
| HSBC Gilt Fund                                          |                            | 1.00        | 1.00     | 1.00     | 1.00     | 1.00             |
| HSBC Low Duration Fund                                  |                            | 0.70        | 0.70     | 0.70     | 0.70     | 0.70             |
| HSBC Medium Duration Fund                               |                            | 0.65        | 0.65     | 0.65     | 0.65     | 0.65             |
| HSBC Medium to Long Duration Fund                       |                            | 1.00        | 1.00     | 1.00     | 1.00     | 1.00             |
| HSBC Money Market Fund                                  |                            | 0.20        | 0.20     | 0.20     | 0.20     | 0.20             |
| HSBC Short Duration Fund                                |                            | 0.45        | 0.45     | 0.45     | 0.45     | 0.45             |
| HSBC Ultra Short Duration Fund                          |                            | 0.20        | 0.20     | 0.20     | 0.20     | 0.20             |
| HSBC Liquid Fund                                        |                            | 0.10        | 0.10     | 0.10     | 0.10     | 0.10             |
| HSBC Overnight Fund                                     |                            | 0.08        | 0.08     | 0.08     | 0.08     | 0.08             |
| HSBC Aggressive Hybrid Active FOF                       |                            | 1.15        | 1.15     | 1.15     | 1.15     | 1.15             |
| HSBC Asia Pacific(Ex Japan)Div Yield Fund               |                            | 0.45        | 0.45     | 0.45     | 0.45     | 0.45             |
| HSBC Brazil Fund                                        |                            | 0.45        | 0.45     | 0.45     | 0.45     | 0.45             |
| HSBC Global Emerging Markets Fund                       |                            | 0.45        | 0.45     | 0.45     | 0.45     | 0.45             |
| HSBC Global Equity Climate Change Fund of Fund          |                            | 0.45        | 0.45     | 0.45     | 0.45     | 0.45             |
| HSBC Income Plus Arbitrage Active FOF                   |                            | 0.40        | 0.40     | 0.40     | 0.40     | 0.40             |
| HSBC Multi Asset Active FOF                             |                            | 1.20        | 1.20     | 1.20     | 1.20     | 1.20             |

\* ANNUALISED PAYABLE MONTHLY

## Commission Structure for HSBC Mutual Fund

01-Jul-2025 to 30-Sep-2025

General:

- a) This is further to your empanelment with HSBC Mutual Fund.
- b) The aforesaid structure is effective from 01-Jul-2025 to 30-Sep-2025. This structure will remain effective till further notice and may change at the discretion of AMC as a result of any changes in the regulations/guidelines. This structure is inclusive of all taxes.
- c) Further to comply with the SEBI regulation, prepaid commissions for the systematic transactions (SIP/STP) triggered w.e.f 22nd October from the registrations processed prior to 22nd October 2018, has been discontinued.
- d) Trail Commission: The Trail Commission is calculated on the basis of 'Daily Average Assets'. The amount payable to the distributor shall be paid in the following month.
- e) Commission/Incentive(s) if any will be paid on switches/systematic transfer from one scheme to another scheme as per the applicable structure. In case of option change, Trail commission will continue in the target scheme as per the applicable structure.
- f) If the total commission pay out to the distributor for a month (including Incentive) is less than Rs. 250/-, the same would be accrued and carried forward to subsequent months for payouts.
- g) AMC reserves the right to change the commission structure at its sole discretion, without giving any notice.
- h) The AMC reserves the right not to pay Commission/Incentive on assets mobilized through multiple / split applications from the same investor where such arrangement is made with an intention to earn Commission/Incentive(s) otherwise not available on the investment.
- i) In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Distributors are advised to ensure compliance of the same.
- j) The rules and regulations of SEBI/AMFI pertaining to commission/incentive payments to distributors shall apply for payment of the commission/incentive as per the structure stated above.
- k) The aforesaid commission/incentive structures are based on the present expense ratio allowed by SEBI. Any change by SEBI in the expense ratio will entail a change in the aforesaid commission structure including commission structure prevailing for historical AUM. AMC reserves the right to change, withdraw and / or amend, the above mentioned terms and conditions without any prior notice.
- l) For change of broker code cases, payment of commission will be governed by the requirements of SEBI and / or AMFI.
- m) As per the Notification No. 38/2017 – Central Tax (Rate) dated 13th October'2017, the discharge of liability towards GST will be sole responsibility of the distributor and HSBC Mutual Fund will not deduct GST under Reverse Charge Mechanism (RCM) until further notice from GST Council. However, the AMC reserves the right to deduct the same in future without any notice if any notification is received in this regard. AMC also reserves the right to deduct any other applicable statutory dues.
- n) AMC reserves the right to hold the commission payment for Investors' KYC incomplete/ failure cases or in case of any other direction received from SEBI/ AMFI in this regard.
- o) AMC reserves the right to hold the commission payment if the bank details of the distributor are incomplete/not registered with AMC.
- p) The commission rates are inclusive of all taxes, levies, statutory dues and Goods & Services tax (GST).

THE DISTRIBUTOR AGREES THAT SOURCING OF FUNDS FOR HSBC MUTUAL FUND SCHEME(S) AFTER RECEIPT OF THIS BROKERAGE STRUCTURE INCLUDING ABOVE REFERRED TERMS AND CONDITIONS SHALL BE CONSTRUED AS AN AGREEMENT OF HIS/HER/ITS ACCEPTANCE TO THE TERMS AND CONDITIONS.

# Brokerage Structure for MFDs

*Period: 1<sup>st</sup> July 2026 to 30<sup>th</sup> September 2026*

| Scheme Name                     | Upto 3 Years |       |              | 4th Year onwards |       |              |
|---------------------------------|--------------|-------|--------------|------------------|-------|--------------|
|                                 | Trail        | GST   | Total        | Trail            | GST   | Total        |
| <b>Equity Scheme Name</b>       |              |       |              |                  |       |              |
| Helios Flexi Cap Fund           | 0.91%        | 0.16% | <b>1.07%</b> | 0.81%            | 0.15% | <b>0.96%</b> |
| Helios Large & Midcap Fund      | 1.28%        | 0.23% | <b>1.51%</b> | 1.18%            | 0.21% | <b>1.39%</b> |
| Helios Midcap Fund              | 1.15%        | 0.20% | <b>1.35%</b> | 1.05%            | 0.19% | <b>1.24%</b> |
| Helios Small Cap Fund           | 1.28%        | 0.23% | <b>1.51%</b> | 1.18%            | 0.21% | <b>1.39%</b> |
| Helios Financial Services Fund  | 1.36%        | 0.24% | <b>1.60%</b> | 1.26%            | 0.23% | <b>1.49%</b> |
| <b>Hybrid Scheme Name</b>       |              |       |              |                  |       |              |
| Helios Balanced Advantage Fund  | 1.36%        | 0.24% | <b>1.60%</b> | 1.26%            | 0.23% | <b>1.49%</b> |
| Helios Arbitrage Fund           | 0.52%        | 0.09% | <b>0.61%</b> | 0.42%            | 0.08% | <b>0.50%</b> |
| <b>Fixed Income Scheme Name</b> |              |       |              |                  |       |              |
| Helios Overnight Fund           | 0.04%        | 0.01% | <b>0.05%</b> | 0.04%            | 0.01% | <b>0.05%</b> |

## Terms & Conditions

- I. The above-mentioned trail commission is applicable to all investments made during the aforesaid period.
- II. The annualized commission, including the Trail Commission, will be calculated based on the monthly average AUM.
- III. Brokerage on lumpsum investments is applicable to systematic investments if not explicitly specified. Systematic Investment Plan (SIP) - **The base trail will be as per the base trail amount slab of the mutual fund distributors at the time of SIP installment realization not as per the slab at the time of SIP registration**
- IV. Commission payment shall henceforth be in two components.
  - a. **First base commission (excluding GST) will be paid to both registered and unregistered distributors. GST component will be paid only to the registered distributors subject to submission of a valid tax invoice.**
  - b. **In respect of those distributors who are unregistered, only base brokerage exclusive of GST will be paid. Since unregistered distributors are not liable to charge GST.**
- V. GST payment will be generated separately by the AMC / RTA only for those cases where GST invoice for that brokerage payment has been uploaded / received from distributor. Validation of the uploaded invoice will be done basis the broker code, Invoice number, brokerage amount, GST amount, and brokerage payment date.
- VI. **In case of any excess GST paid, which is not reflected in GSTR 2B, AMC shall clawback the same.**
- VII. The valid invoices should be submitted before the end of subsequent quarter. As reconciliation becomes critical, it is important that valid invoices are submitted in timely manner so that the GST component can get released.
- VIII. The periodicity of GST release to the registered distributors is proposed to be released monthly.
- IX. For invoice generation and reporting, please visit <https://www.camsonline.com/Distributors/Service-Requests/DistributorMailback-Services/Request-Mailback>:
  - a. MFD should input their registered email after clicking the link.
  - b. Select the AMC.
  - c. On the left side menu, the Invoice Download and Invoice upload options are available.
- X. Brokerage slab will be applicable to the actual/net investment amount, i.e., the investment amount after deducting stamp duty or any other charges, if applicable.
- XI. **The AMC reserves the right to change the entire or part of the brokerage structure at any time without prior notice. For the latest load structure and Scheme Information Document(s) of respective schemes, Statement of Additional Information, and Addendums issued from time to time, please refer to our website [www.heliosmf.in](http://www.heliosmf.in).**
- XII. **Any change in the Total Base Expense Ratio of the scheme shall have a direct impact on the brokerage payable, and the brokerage structure shall be revised downward accordingly.**
- XIII. In accordance with clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, distributors shall disclose all commissions, including trail commissions or any other mode, payable to them for different competing schemes of various mutual funds from which the Scheme is being recommended to the investor. Distributors are advised to ensure compliance with this requirement.
- XIV. No pass back, either directly or indirectly, shall be given by Distributors to the investors.
- XV. Distributors shall not offer any indicative portfolio or indicative return for Helios Mutual Fund Schemes
- XVI. Switches from existing equity schemes will be eligible for the trail commission based on the existing equity scheme's structure.

THE DISTRIBUTOR AGREES THAT SOURCING FUNDS FOR HELIOS CAPITAL ASSET MANAGEMENT'S MUTUAL FUND SCHEME AFTER RECEIVING THIS BROKERAGE STRUCTURE, INCLUDING THE ABOVE-MENTIONED TERMS AND CONDITIONS, SHALL BE CONSTRUED **AS AGREEMENT TO ACCEPT THESE TERMS AND CONDITIONS.** (For Load Structure, please refer to the latest SID/SAI on our website [www.heliosmf.in](http://www.heliosmf.in))

Brokerage Structure



Distributor :   ARN-118486

for the Investment Period :   01-JUL-2025 to 30-SEP-2025

| Class | SEBI Scheme categorization | Scheme                                            | Investment Period | Transaction Nature | Investment Amount | Rate Type | Trailer Fee |        |        |        |        |          | All Assets | B30 Inc Trailer Fee Clawback |   |         |
|-------|----------------------------|---------------------------------------------------|-------------------|--------------------|-------------------|-----------|-------------|--------|--------|--------|--------|----------|------------|------------------------------|---|---------|
|       |                            |                                                   |                   |                    |                   |           | B30 Inc     | 1st Yr | 2nd Yr | 3rd Yr | 4th Yr | >=5th Yr |            | Type                         | % | Thld(D) |
| INDEX |                            | Kotak Nifty Midcap 150 Momentum 50 Index Fund     |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.65   | 0.65   | 0.65   | 0.65   | 0.65     | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.65   | 0.65   | 0.65   | 0.65   | 0.65     | NO         |                              |   |         |
| INDEX |                            | Kotak BSE PSU Index Fund                          |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.63   | 0.63   | 0.6    | 0.6    | 0.6      | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.63   | 0.63   | 0.6    | 0.6    | 0.6      | NO         |                              |   |         |
| INDEX | INDEX                      | Kotak Nifty India Tourism Index Fund              |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.6    | 0.6    | 0.6    | 0.6    | 0.6      | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.6    | 0.6    | 0.6    | 0.6    | 0.6      | NO         |                              |   |         |
| INDEX |                            | Kotak NIFTY 100 Low Volatility 30 Index Fund      |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.58   | 0.58   | 0.58   | 0.58   | 0.58     | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.58   | 0.58   | 0.58   | 0.58   | 0.58     | NO         |                              |   |         |
| INDEX |                            | KOTAK NIFTY SMALLCAP 50 INDEX FUND                |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.55   | 0.55   | 0.55   | 0.55   | 0.55     | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.55   | 0.55   | 0.55   | 0.55   | 0.55     | NO         |                              |   |         |
| INDEX |                            | Kotak Nifty Midcap 50 Index fund                  |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.53   | 0.53   | 0.53   | 0.53   | 0.53     | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.53   | 0.53   | 0.53   | 0.53   | 0.53     | NO         |                              |   |         |
| INDEX |                            | Kotak Nifty 100 Equal Weight Index Fund           |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
| INDEX |                            | Kotak Nifty 200 Momentum 30 Index Fund            |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
| INDEX |                            | Kotak Nifty 50 Equal Weight Index Fund            |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
| INDEX |                            | Kotak Nifty Financial Services Ex-Bank Index Fund |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
| INDEX |                            | Kotak Nifty Smallcap 250 Index Fund               |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
| INDEX |                            | Kotak Nifty Top 10 Equal Weight Index Fund        |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.5    | 0.5    | 0.5    | 0.5    | 0.5      | NO         |                              |   |         |
| INDEX |                            | Kotak Nifty Midcap 150 Index Fund                 |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.41   | 0.41   | 0.41   | 0.41   | 0.41     | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.41   | 0.41   | 0.41   | 0.41   | 0.41     | NO         |                              |   |         |
| INDEX |                            | Kotak S&P BSE Housing Index Fund                  |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.37   | 0.37   | 0.37   | 0.37   | 0.37     | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.37   | 0.37   | 0.37   | 0.37   | 0.37     | NO         |                              |   |         |
| INDEX |                            | Kotak Nifty G-Sec July 2033 Index Fund            |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.24   | 0.24   | 0.24   | 0.24   | 0.24     | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.24   | 0.24   | 0.24   | 0.24   | 0.24     | NO         |                              |   |         |
| INDEX |                            | Kotak Nifty Commodities Index Fund                |                   | Lump sum           | 1 to Max          | FIXED     | 0           | 0.23   | 0.23   | 0.23   | 0.23   | 0.23     | NO         |                              |   |         |
|       |                            |                                                   |                   | Systematic         | 1 to Max          | FIXED     | 0           | 0.23   | 0.23   | 0.23   | 0.23   | 0.23     | NO         |                              |   |         |

Brokerage Structure

Distributor :   ARN-118486

for the Investment Period :   01-JUL-2025 to 30-SEP-2025



| Class      | SEBI Scheme categorization | Scheme                                                           | Investment Period          | Transaction Nature                                             | Investment Amount | Rate Type | Trailer Fee |        |        |        |        |          | All Assets | B30 Inc Trailer Fee Clawback |    |         |  |
|------------|----------------------------|------------------------------------------------------------------|----------------------------|----------------------------------------------------------------|-------------------|-----------|-------------|--------|--------|--------|--------|----------|------------|------------------------------|----|---------|--|
|            |                            |                                                                  |                            |                                                                |                   |           | B30 Inc     | 1st Yr | 2nd Yr | 3rd Yr | 4th Yr | >=5th Yr |            | Type                         | %  | Thld(D) |  |
| INDEX      |                            | KOTAK CRISIL-IBX AAA BOND FINANCIAL SERVICES INDEX DEC 2026 FUND | 01-JUL-2025 to 30-SEP-2025 | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 0.2    | 0.2    | 0.2    | 0.2    | 0.2      | NO         |                              |    |         |  |
| INDEX      |                            | KOTAK CRISIL-IBX AAA FINANCIAL SERVICES INDEX SEP 2027 FUND      |                            | Systematic                                                     | 1 to Max          | FIXED     | 0           | 0.2    | 0.2    | 0.2    | 0.2    | 0.2      | 0.2        | NO                           |    |         |  |
|            |                            |                                                                  |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 0.2    | 0.2    | 0.2    | 0.2    | 0.2      | 0.2        | NO                           |    |         |  |
|            |                            | Systematic                                                       |                            | 1 to Max                                                       | FIXED             | 0         | 0.2         | 0.2    | 0.2    | 0.2    | 0.2    | 0.2      | NO         |                              |    |         |  |
|            |                            | INDEX                                                            |                            | KOTAK CRISIL-IBX FINANCIAL SERVICES 3-6 MONTHS DEBT INDEX FUND | Lump sum          | 1 to Max  | FIXED       | 0      | 0.2    | 0.2    | 0.2    | 0.2      | 0.2        | 0.2                          | NO |         |  |
| Systematic |                            |                                                                  |                            |                                                                | 1 to Max          | FIXED     | 0           | 0.2    | 0.2    | 0.2    | 0.2    | 0.2      | 0.2        | NO                           |    |         |  |
| INDEX      | INDEX                      | Kotak Nifty SDL Jul 2033 Index Fund                              |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 0.2    | 0.2    | 0.2    | 0.2    | 0.2      | 0.2        | NO                           |    |         |  |
| Systematic |                            |                                                                  |                            | 1 to Max                                                       | FIXED             | 0         | 0.2         | 0.2    | 0.2    | 0.2    | 0.2    | 0.2      | NO         |                              |    |         |  |
| INDEX      |                            | Kotak Nifty SDL Jul 2026 Index Fund                              |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 0.18   | 0.18   | 0.18   | 0.18   | 0.18     | 0.18       | NO                           |    |         |  |
|            |                            |                                                                  |                            | Systematic                                                     | 1 to Max          | FIXED     | 0           | 0.18   | 0.18   | 0.18   | 0.18   | 0.18     | 0.18       | NO                           |    |         |  |
| INDEX      |                            | Kotak BSE Sensex Index Fund                                      |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 0.17   | 0.17   | 0.17   | 0.17   | 0.17     | 0.17       | NO                           |    |         |  |
| Systematic |                            |                                                                  |                            | 1 to Max                                                       | FIXED             | 0         | 0.17        | 0.17   | 0.17   | 0.17   | 0.17   | 0.17     | NO         |                              |    |         |  |
| INDEX      | INDEX                      | Kotak Nifty AAA Bond Jun 2025 HTM Index Fund                     |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 0.13   | 0.13   | 0.13   | 0.13   | 0.13     | 0.13       | NO                           |    |         |  |
| Systematic |                            |                                                                  |                            | 1 to Max                                                       | FIXED             | 0         | 0.13        | 0.13   | 0.13   | 0.13   | 0.13   | 0.13     | NO         |                              |    |         |  |
| EQUITY     | Equity                     | Kotak Transportation & Logistics Fund                            |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 1.48   | 1.48   | 1.45   | 1.45   | 1.45     | 1.45       | NO                           |    |         |  |
| Systematic |                            |                                                                  |                            | 1 to Max                                                       | FIXED             | 0         | 1.48        | 1.48   | 1.45   | 1.45   | 1.45   | 1.45     | NO         |                              |    |         |  |
| EQUITY     |                            | Kotak Healthcare Fund                                            |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 1.48   | 1.48   | 1.35   | 1.35   | 1.35     | 1.35       | NO                           |    |         |  |
|            |                            |                                                                  |                            | Systematic                                                     | 1 to Max          | FIXED     | 0           | 1.48   | 1.48   | 1.35   | 1.35   | 1.35     | 1.35       | NO                           |    |         |  |
| EQUITY     |                            | KOTAK Energy Opportunities Fund                                  |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 1.45   | 1.45   | 1.4    | 1.4    | 1.4      | 1.4        | NO                           |    |         |  |
| Systematic |                            |                                                                  |                            | 1 to Max                                                       | FIXED             | 0         | 1.45        | 1.45   | 1.4    | 1.4    | 1.4    | 1.4      | NO         |                              |    |         |  |
| EQUITY     | Equity                     | Kotak Technology Fund                                            |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 1.35   | 1.35   | 1.3    | 1.3    | 1.3      | 1.3        | NO                           |    |         |  |
| Systematic |                            |                                                                  |                            | 1 to Max                                                       | FIXED             | 0         | 1.35        | 1.35   | 1.3    | 1.3    | 1.3    | 1.3      | NO         |                              |    |         |  |
| EQUITY     |                            | Kotak Consumption Fund                                           |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 1.31   | 1.31   | 1.25   | 1.25   | 1.25     | 1.25       | NO                           |    |         |  |
|            |                            |                                                                  |                            | Systematic                                                     | 1 to Max          | FIXED     | 0           | 1.31   | 1.31   | 1.25   | 1.25   | 1.25     | 1.25       | NO                           |    |         |  |
| EQUITY     |                            | Kotak ESG Exclusionary Strategy Fund                             |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 1.3    | 1.3    | 1.2    | 1.2    | 1.2      | 1.2        | NO                           |    |         |  |
|            |                            |                                                                  |                            | Systematic                                                     | 1 to Max          | FIXED     | 0           | 1.3    | 1.3    | 1.2    | 1.2    | 1.2      | 1.2        | NO                           |    |         |  |
| EQUITY     |                            | Kotak Banking and Financial Services Fund                        |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 1.25   | 1.25   | 1.2    | 1.2    | 1.2      | 1.2        | NO                           |    |         |  |
|            |                            |                                                                  |                            | Systematic                                                     | 1 to Max          | FIXED     | 0           | 1.25   | 1.25   | 1.2    | 1.2    | 1.2      | 1.2        | NO                           |    |         |  |
| EQUITY     |                            | Kotak MNC Fund                                                   |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 1.2    | 1.2    | 1.15   | 1.15   | 1.15     | 1.15       | NO                           |    |         |  |
|            |                            |                                                                  |                            | Systematic                                                     | 1 to Max          | FIXED     | 0           | 1.2    | 1.2    | 1.15   | 1.15   | 1.15     | 1.15       | NO                           |    |         |  |
| EQUITY     |                            | Kotak Manufacture In India Fund                                  |                            | Lump sum                                                       | 1 to Max          | FIXED     | 0           | 1.2    | 1.2    | 1.15   | 1.15   | 1.15     | 1.15       | NO                           |    |         |  |
|            |                            |                                                                  |                            | Systematic                                                     | 1 to Max          | FIXED     | 0           | 1.2    | 1.2    | 1.15   | 1.15   | 1.15     | 1.15       | NO                           |    |         |  |

Brokerage Structure



Distributor :   ARN-118486

for the Investment Period :   01-JUL-2025 to 30-SEP-2025

| Class  | SEBI Scheme categorization | Scheme                                                              | Investment Period          | Transaction Nature | Investment Amount | Rate Type | Trailer Fee |        |        |        |        |          | All Assets | B30 Inc Trailer Fee Clawback |   |         |
|--------|----------------------------|---------------------------------------------------------------------|----------------------------|--------------------|-------------------|-----------|-------------|--------|--------|--------|--------|----------|------------|------------------------------|---|---------|
|        |                            |                                                                     |                            |                    |                   |           | B30 Inc     | 1st Yr | 2nd Yr | 3rd Yr | 4th Yr | >=5th Yr |            | Type                         | % | Thld(D) |
| EQUITY | Equity                     | Kotak Special Opportunities Fund                                    | 01-JUL-2025 to 30-SEP-2025 | Lump sum           | 1 to Max          | FIXED     | 0           | 1.2    | 1.2    | 1.1    | 1.1    | 1.1      | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1.2    | 1.2    | 1.1    | 1.1    | 1.1      | NO         |                              |   |         |
| EQUITY |                            | Kotak Business Cycle Fund                                           |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1.18   | 1.18   | 1.1    | 1.1    | 1.1      | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1.18   | 1.18   | 1.1    | 1.1    | 1.1      | NO         |                              |   |         |
| EQUITY |                            | Kotak Focused Fund erstwhile Kotak Focused Equity Fund              |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1.15   | 1.15   | 1.1    | 1.1    | 1.1      | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1.15   | 1.15   | 1.1    | 1.1    | 1.1      | NO         |                              |   |         |
| EQUITY |                            | Kotak Pioneer Fund                                                  |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1.15   | 1.15   | 1.1    | 1.1    | 1.1      | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1.15   | 1.15   | 1.1    | 1.1    | 1.1      | NO         |                              |   |         |
| EQUITY | Sectoral or Thematic Fund  | Kotak Infrastructure & Economic Reform Fund                         |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1.15   | 1.15   | 1.05   | 1.05   | 1.05     | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1.15   | 1.15   | 1.05   | 1.05   | 1.05     | NO         |                              |   |         |
| EQUITY | Contra Fund                | Kotak Contra Fund erstwhile Kotak india EQ Contra Fund              |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1.13   | 1.13   | 1.1    | 1.1    | 1.1      | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1.13   | 1.13   | 1.1    | 1.1    | 1.1      | NO         |                              |   |         |
| EQUITY | ELSS                       | Kotak ELSS Tax Saver Fund                                           |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1.05   | 1.05   | 1      | 1      | 1        | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1.05   | 1.05   | 1      | 1      | 1        | NO         |                              |   |         |
| EQUITY | Equity                     | Kotak Multi Asset Allocation Fund                                   |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1.03   | 1.03   | 1      | 1      | 1        | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1.03   | 1.03   | 1      | 1      | 1        | NO         |                              |   |         |
| EQUITY | Large Cap Fund             | Kotak Large Cap Fund erstwhile Kotak Bluechip Fund                  |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1.03   | 1.03   | 0.95   | 0.95   | 0.95     | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1.03   | 1.03   | 0.95   | 0.95   | 0.95     | NO         |                              |   |         |
| EQUITY | Equity                     | Kotak Quant Fund                                                    |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1      | 1      | 0.75   | 0.75   | 0.75     | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1      | 1      | 0.75   | 0.75   | 0.75     | NO         |                              |   |         |
| EQUITY | Small Cap Fund             | Kotak Small Cap Fund                                                |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.99   | 0.99   | 0.9    | 0.9    | 0.9      | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.99   | 0.99   | 0.9    | 0.9    | 0.9      | NO         |                              |   |         |
| EQUITY | Equity Savings             | Kotak Equity Savings Scheme                                         |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.95   | 0.95   | 0.9    | 0.9    | 0.9      | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.95   | 0.95   | 0.9    | 0.9    | 0.9      | NO         |                              |   |         |
| EQUITY | Equity                     | Kotak Multicap Fund                                                 |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.95   | 0.95   | 0.9    | 0.9    | 0.9      | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.95   | 0.95   | 0.9    | 0.9    | 0.9      | NO         |                              |   |         |
| EQUITY | Large and Mid Cap Fund     | Kotak Large & Midcap Fund erstwhile Kotak Equity Opportunities Fund |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.94   | 0.94   | 0.85   | 0.85   | 0.85     | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.94   | 0.94   | 0.85   | 0.85   | 0.85     | NO         |                              |   |         |
| EQUITY | Equity                     | Kotak Flexicap Fund                                                 |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.84   | 0.84   | 0.75   | 0.75   | 0.75     | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.84   | 0.84   | 0.75   | 0.75   | 0.75     | NO         |                              |   |         |
| EQUITY | Mid Cap Fund               | Kotak Midcap Fund erstwhile Kotak Emerging Equity Fund              |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.84   | 0.84   | 0.75   | 0.75   | 0.75     | NO         |                              |   |         |
|        |                            |                                                                     |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.84   | 0.84   | 0.75   | 0.75   | 0.75     | NO         |                              |   |         |

Brokerage Structure



Distributor :   ARN-118486

for the Investment Period :   01-JUL-2025 to 30-SEP-2025

| Class  | SEBI Scheme categorization        | Scheme                                                          | Investment Period          | Transaction Nature | Investment Amount | Rate Type | Trailer Fee |        |        |        |        |          | All Assets | B30 Inc Trailer Fee Clawback |   |         |  |
|--------|-----------------------------------|-----------------------------------------------------------------|----------------------------|--------------------|-------------------|-----------|-------------|--------|--------|--------|--------|----------|------------|------------------------------|---|---------|--|
|        |                                   |                                                                 |                            |                    |                   |           | B30 Inc     | 1st Yr | 2nd Yr | 3rd Yr | 4th Yr | >=5th Yr |            | Type                         | % | Thld(D) |  |
| EQUITY | Arbitrage Fund                    | Kotak Arbitrage Fund erstwhile Kotak Equity Arbitrage Fund      | 01-JUL-2025 to 30-SEP-2025 | Lump sum           | 1 to Max          | FIXED     | 0           | 0.45   | 0.45   | 0.45   | 0.45   | 0.45     | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.45   | 0.45   | 0.45   | 0.45   | 0.45     | NO         |                              |   |         |  |
| EQUITY | Equity                            | Kotak Nifty Next 50 Index Fund                                  |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.34   | 0.34   | 0.34   | 0.34   | 0.34     | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.34   | 0.34   | 0.34   | 0.34   | 0.34     | NO         |                              |   |         |  |
| EQUITY |                                   | Kotak Nifty 50 Index Fund                                       |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.19   | 0.19   | 0.19   | 0.19   | 0.19     | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.19   | 0.19   | 0.19   | 0.19   | 0.19     | NO         |                              |   |         |  |
| HYBRID | Balanced Hybrid Fund              | Kotak Aggressive Hybrid Fund erstwhile Kotak Equity Hybrid Fund |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1.05   | 1.05   | 1      | 1      | 1        | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1.05   | 1.05   | 1      | 1      | 1        | NO         |                              |   |         |  |
| HYBRID | Dynamic Asset Allocation fund     | Kotak Balanced Advantage Fund                                   |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.98   | 0.98   | 0.9    | 0.9    | 0.9      | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.98   | 0.98   | 0.9    | 0.9    | 0.9      | NO         |                              |   |         |  |
| HYBRID | Conservative Hybrid Fund          | Kotak Debt Hybrid                                               |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.9    | 0.9    | 0.85   | 0.85   | 0.85     | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.9    | 0.9    | 0.85   | 0.85   | 0.85     | NO         |                              |   |         |  |
| DEBT   | Gilt Fund                         | Kotak Gilt Investments Fund                                     |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1      | 1      | 1      | 1      | 1        | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1      | 1      | 1      | 1      | 1        | NO         |                              |   |         |  |
| DEBT   | Credit Risk Fund                  | Kotak Credit Risk Fund                                          |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.95   | 0.95   | 0.9    | 0.9    | 0.9      | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.95   | 0.95   | 0.9    | 0.9    | 0.9      | NO         |                              |   |         |  |
| DEBT   | Medium to Long Term Duration Fund | Kotak Bond Fund                                                 |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.9    | 0.9    | 0.85   | 0.85   | 0.85     | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.9    | 0.9    | 0.85   | 0.85   | 0.85     | NO         |                              |   |         |  |
| DEBT   | Medium Duration Fund              | Kotak Medium Term Fund                                          |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.8    | 0.8    | 0.8    | 0.8    | 0.8      | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.8    | 0.8    | 0.8    | 0.8    | 0.8      | NO         |                              |   |         |  |
| DEBT   | Dynamic Bond                      | Kotak Dynamic Bond Fund                                         |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.75   | 0.75   | 0.75   | 0.75   | 0.75     | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.75   | 0.75   | 0.75   | 0.75   | 0.75     | NO         |                              |   |         |  |
| DEBT   | Low duration Fund                 | Kotak Low Duration Fund                                         |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.75   | 0.75   | 0.75   | 0.75   | 0.75     | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.75   | 0.75   | 0.75   | 0.75   | 0.75     | NO         |                              |   |         |  |
| DEBT   | Short Duration Fund               | Kotak Bond Short Term Fund                                      |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.7    | 0.7    | 0.7    | 0.7    | 0.7      | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.7    | 0.7    | 0.7    | 0.7    | 0.7      | NO         |                              |   |         |  |
| DEBT   | Ultra short Duration Fund         | Kotak Savings Fund                                              |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.4    | 0.4    | 0.4    | 0.4    | 0.4      | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.4    | 0.4    | 0.4    | 0.4    | 0.4      | NO         |                              |   |         |  |
| DEBT   | Corporate Bond Fund               | Kotak Corporate Bond Fund                                       |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.3    | 0.3    | 0.3    | 0.3    | 0.3      | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.3    | 0.3    | 0.3    | 0.3    | 0.3      | NO         |                              |   |         |  |
| DEBT   | Banking and PSU Fund              | Kotak Banking and PSU Debt Fund                                 |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.3    | 0.3    | 0.3    | 0.3    | 0.3      | NO         |                              |   |         |  |
|        |                                   |                                                                 |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.3    | 0.3    | 0.3    | 0.3    | 0.3      | NO         |                              |   |         |  |

Brokerage Structure



Distributor :   ARN-118486

for the Investment Period :   01-JUL-2025 to 30-SEP-2025

| Class | SEBI Scheme categorization | Scheme                                             | Investment Period          | Transaction Nature | Investment Amount | Rate Type | Trailer Fee |        |        |        |        |          | All Assets | B30 Inc Trailer Fee Clawback |   |         |
|-------|----------------------------|----------------------------------------------------|----------------------------|--------------------|-------------------|-----------|-------------|--------|--------|--------|--------|----------|------------|------------------------------|---|---------|
|       |                            |                                                    |                            |                    |                   |           | B30 Inc     | 1st Yr | 2nd Yr | 3rd Yr | 4th Yr | >=5th Yr |            | Type                         | % | Thld(D) |
| DEBT  | Debt                       | Kotak Floating Rate Fund                           | 01-JUL-2025 to 30-SEP-2025 | Lump sum           | 1 to Max          | FIXED     | 0           | 0.3    | 0.3    | 0.3    | 0.3    | 0.3      | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.3    | 0.3    | 0.3    | 0.3    | 0.3      | NO         |                              |   |         |
| DEBT  |                            | Kotak Long Duration Fund                           |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.25   | 0.25   | 0.25   | 0.25   | 0.25     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.25   | 0.25   | 0.25   | 0.25   | 0.25     | NO         |                              |   |         |
| DEBT  |                            | KOTAK NIFTY SDL PLUS AAA PSU BOND JUL 2028 60:40   |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.18   | 0.18   | 0.18   | 0.18   | 0.18     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.18   | 0.18   | 0.18   | 0.18   | 0.18     | NO         |                              |   |         |
| DEBT  |                            | Kotak Nifty SDL Apr 2027 Top 12 Equal Weight Index |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.15   | 0.15   | 0.15   | 0.15   | 0.15     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.15   | 0.15   | 0.15   | 0.15   | 0.15     | NO         |                              |   |         |
| DEBT  |                            | Kotak Nifty SDL Apr 2032 Top 12 Equal Weight Index |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.15   | 0.15   | 0.15   | 0.15   | 0.15     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.15   | 0.15   | 0.15   | 0.15   | 0.15     | NO         |                              |   |         |
| DEBT  | Liquid Fund                | Kotak Liquid Fund                                  |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.03   | 0.03   | 0.03   | 0.03   | 0.03     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.03   | 0.03   | 0.03   | 0.03   | 0.03     | NO         |                              |   |         |
| DEBT  | Money Market scheme        | Kotak Money Market Scheme                          |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.03   | 0.03   | 0.03   | 0.03   | 0.03     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.03   | 0.03   | 0.03   | 0.03   | 0.03     | NO         |                              |   |         |
| DEBT  | Overnight Fund             | Kotak Overnight Fund                               |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.03   | 0.03   | 0.03   | 0.03   | 0.03     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.03   | 0.03   | 0.03   | 0.03   | 0.03     | NO         |                              |   |         |
| FOF   | FOF                        | Kotak Global Innovation Fund of Fund               |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 1      | 1      | 1      | 1      | 1        | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 1      | 1      | 1      | 1      | 1        | NO         |                              |   |         |
| FOF   | Fund of Fund               | Kotak Global Emerging Fund                         |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.85   | 0.85   | 0.85   | 0.85   | 0.85     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.85   | 0.85   | 0.85   | 0.85   | 0.85     | NO         |                              |   |         |
| FOF   | FOF                        | Kotak International REIT FOF                       |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.8    | 0.8    | 0.8    | 0.8    | 0.8      | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.8    | 0.8    | 0.8    | 0.8    | 0.8      | NO         |                              |   |         |
| FOF   | Fund of Fund               | Kotak Multi Asset Allocator Fund of Fund - Dynamic |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.55   | 0.55   | 0.55   | 0.55   | 0.55     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.55   | 0.55   | 0.55   | 0.55   | 0.55     | NO         |                              |   |         |
| FOF   | FOF                        | Kotak Silver ETF Fund of Fund                      |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.39   | 0.39   | 0.39   | 0.39   | 0.39     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.39   | 0.39   | 0.39   | 0.39   | 0.39     | NO         |                              |   |         |
| FOF   | Fund of Fund               | Kotak Gold Fund                                    |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.32   | 0.32   | 0.32   | 0.32   | 0.32     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.32   | 0.32   | 0.32   | 0.32   | 0.32     | NO         |                              |   |         |
| FOF   | FOF                        | Kotak NASDAQ 100 Fund of Fund                      |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.29   | 0.29   | 0.29   | 0.29   | 0.29     | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.29   | 0.29   | 0.29   | 0.29   | 0.29     | NO         |                              |   |         |
| FOF   | Debt                       | Kotak Income Plus Arbitrage FOF                    |                            | Lump sum           | 1 to Max          | FIXED     | 0           | 0.2    | 0.2    | 0.2    | 0.2    | 0.2      | NO         |                              |   |         |
|       |                            |                                                    |                            | Systematic         | 1 to Max          | FIXED     | 0           | 0.2    | 0.2    | 0.2    | 0.2    | 0.2      | NO         |                              |   |         |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>TERMS AND CONDITIONS:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>I. GENERAL</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| i. Brokerage Structures are subject to the terms of empanelment and applicable laws and regulations, including SEBI (Mutual Fund) Regulations, AMFI Regulations, laws relating to GST, Income Tax, etc. Distribution commission paid to the distributor is inclusive of GST, as applicable. The distributor shall discharge his obligations, as applicable under the GST law.                                                                                                                    |
| ii. All Trail Brokerages will be calculated for every calendar month and will be paid out in the following month.                                                                                                                                                                                                                                                                                                                                                                                |
| iii. This brokerage structure is applicable till further notice and is subject to changes at the discretion of AMC/ Trustee.                                                                                                                                                                                                                                                                                                                                                                     |
| iv. In case of any regulatory changes with respect to expense ratio, the past / present brokerage structure may be reviewed by the AMC                                                                                                                                                                                                                                                                                                                                                           |
| v. The load structures may change at the discretion of AMC / Trustee. Please refer to the latest applicable load structure in the Offer Document(s) / Addenda to the Offer Document(s).                                                                                                                                                                                                                                                                                                          |
| vi. Switches will be eligible for brokerage trail as per the distributor's applicable brokerage in the scheme but subject to applicable clawback of the switch out scheme. Option changes within the same scheme are not treated as Intra switches                                                                                                                                                                                                                                               |
| vii. T-30 refers to the Top 30 cities provided by AMFI & B30 cities, refers to all the cities beyond the Top 30 Cities. Any Investments from cities / Pin codes categories provided by AMFI will be eligible for brokerage payment as per T30 brokerage structure. In addition to this, NRI investments will be considered as T30 investments for the purpose of brokerage payment.                                                                                                              |
| viii. SIP / STP registered WEF April 01, 2019 onwards will be on Trigger basis and not on registration basis.                                                                                                                                                                                                                                                                                                                                                                                    |
| ix. In the event of the claw back brokerage being higher than that month's brokerage payable, the broker shall refund the same.                                                                                                                                                                                                                                                                                                                                                                  |
| x. The AMC reserves the right to suspend the brokerage payable, if brought to our notice that higher brokerage is offered to sub-brokers or you have violated the code of conduct and/or rules/regulations laid down by SEBI and AMFI.                                                                                                                                                                                                                                                           |
| xi. Any Events / Training / Activities / Seminar etc. planned with your employees / clients / sub brokers etc. shall be conducted with the written consent of Regional Heads / Head of Sales prior to such activities                                                                                                                                                                                                                                                                            |
| xii. All communication with regards to rates changes/modifications would be formally informed to you through <a href="mailto:kotakadvisorh@kotak.com">kotakadvisorh@kotak.com</a> or <a href="mailto:donotreply@camsonline.com">donotreply@camsonline.com</a> e- mail id only. Any other mode of communication via verbal or email from any individual representative should not be considered Bonafede. Brokerage changes will be incorporated after consent from Regional Heads, Head of Sales |
| xiii. As per SEBI's letter no. SEBI/HO/IMD/IMD-SEC-3/P/OW/2023/5823/1 dated February 24, 2023 to AMFI, WEF March 01, 2023 B30 Structure is put in abeyance.                                                                                                                                                                                                                                                                                                                                      |
| xiv. As per point no 3. of SEBI circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2025/23 dated February 27, 2025, the distribution commission for any switch from a regular plan to NFO will be the lower of the commissions offered under the two schemes involved in the switch transaction.                                                                                                                                                                                                               |

**Mahindra Manulife Investment Management Pvt. Ltd**  
**Ongoing Brokerage Structure for period 1st July 2025 Onwards**

| Scheme Name                                   | Category            | Trail (% p.a.)<br>1st year | Trail (% p.a.)<br>2nd year onwards |
|-----------------------------------------------|---------------------|----------------------------|------------------------------------|
| <b>EQUITY</b>                                 |                     |                            |                                    |
| Mahindra Manulife ELSS Tax Saver Fund         | ELSS (Tax Saver)    | 1.45                       | 1.45                               |
| Mahindra Manulife Large Cap Fund              | Large-Cap           | 1.65                       | 1.65                               |
| Mahindra Manulife Mid Cap Fund                | Mid-Cap             | 1.25                       | 1.25                               |
| Mahindra Manulife Small Cap Fund              | Small Cap           | 1.20                       | 1.20                               |
| Mahindra Manulife Large & Mid Cap Fund        | Large & Mid Cap     | 1.30                       | 1.30                               |
| Mahindra Manulife Multi Cap Fund              | Multi-Cap           | 1.20                       | 1.20                               |
| Mahindra Manulife Flexi Cap Fund              | Flexi Cap           | 1.45                       | 1.45                               |
| Mahindra Manulife Focused Fund                | Focused             | 1.30                       | 1.30                               |
| Mahindra Manulife Business Cycle Fund         | Thematic            | 1.45                       | 1.45                               |
| Mahindra Manulife Manufacturing Fund          | Thematic            | 1.45                       | 1.45                               |
| Mahindra Manulife Consumption Fund            | Thematic / Sectoral | 1.65                       | 1.65                               |
| Mahindra Manulife Value Fund                  | Value Fund          | 1.75                       | 1.75                               |
| Mahindra Manulife Asia Pacific REITs FOF      | Fund of Funds       | 0.90                       | 0.90                               |
| <b>HYBRID</b>                                 |                     |                            |                                    |
| Mahindra Manulife Equity Savings Fund         | Equity Savings      | 1.65                       | 1.65                               |
| Mahindra Manulife Balanced Advantage Fund     | Balanced Advantage  | 1.45                       | 1.45                               |
| Mahindra Manulife Aggressive Hybrid Fund      | Aggressive Hybrid   | 1.40                       | 1.40                               |
| Mahindra Manulife Multi Asset Allocation Fund | Hybrid              | 1.50                       | 1.50                               |
| Mahindra Manulife Arbitrage Fund              | Arbitrage           | 0.70                       | 0.70                               |
| <b>DEBT</b>                                   |                     |                            |                                    |
| Mahindra Manulife Dynamic Bond Fund           | Dynamic Bond        | 1.20                       | 1.20                               |
| Mahindra Manulife Liquid Fund                 | Liquid              | 0.05                       | 0.05                               |
| Mahindra Manulife Overnight Fund              | Debt                | 0.05                       | 0.05                               |
| Mahindra Manulife Ultra Short Duration Fund   | Debt                | 0.35                       | 0.35                               |
| Mahindra Manulife Low Duration Fund           | Low Duration        | 0.80                       | 0.75                               |
| Mahindra Manulife Short Duration Fund         | Short Duration      | 1.00                       | 1.00                               |

**Terms & Conditions**

1. The Distributor is eligible for brokerage on the amounts mobilized by him at the rate(s) prescribed by AMC. The rate(s) are subject to revision, from time to time, at the discretion of the AMC and the Distributor shall be bound by such changes. The brokerage payable shall be inclusive of any tax, GST, cost, charges and expenses incurred by the Distributor in connection with the services.  
(Mahindra Manulife Mutual Fund GST Number: 27AAETM4106F1ZU)

2. Brokerage will be payable only to distributors empanelled with us and for applications logged under their respective ARN.

3. The above mentioned brokerage structure is subject to applicable provisions of SEBI/ AMFI Circulars/communication/GST from other regulatory authorities, as amended from time to time.

4. Above data / information / brokerage structure is subject to amendments pursuant to any load structure / expense ratio changes. It is being clarified that in case of changes / amendments in the applicable total expense ratio of the scheme as a result of any reasons, the above referred brokerage structure (including trail) may also amend.

5. In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Distributors are advised to ensure compliance of the same.

6. Mutual Fund investments are subject to market risks. Read all scheme related documents carefully before investing.

|                         |                    |
|-------------------------|--------------------|
| <b>Communication</b>    |                    |
| Document effective from | September 01, 2025 |
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## quant Mutual Fund – Brokerage Rates<sup>\$</sup> (Lump-sum, SIP-STP)

(September 1, 2025 to September 30, 2025)

| Category Classification – AUM with quant Mutual Fund |                    |
|------------------------------------------------------|--------------------|
| <b>BASE Plus</b>                                     | AUM ≥ 2 Crore AUM  |
| <b>BASE</b>                                          | >=50 - 2 Crore AUM |
| <b>OPEN</b>                                          | <50 LAKH AUM       |

| Powered by VLRT Investment Framework                                          |                          |                                     | Trail Brokerage (Perpetual) |             |             |
|-------------------------------------------------------------------------------|--------------------------|-------------------------------------|-----------------------------|-------------|-------------|
| Name of the Scheme                                                            | Category                 | Exit Load Structure                 | Base Plus<br>(%)            | Base<br>(%) | Open<br>(%) |
| quant Small Cap Fund                                                          | Equity - Small Cap       | 1 Year / 1 %                        | 0.60                        | 0.50        | 0.40        |
| quant Multi Cap Fund (Formerly known as quant Active Fund)                    | Equity - Multi Cap       | 15 Days / 1%                        | 0.65                        | 0.55        | 0.40        |
| quant ELSS Tax Saver Fund                                                     | Equity - ELSS            | Lock in 3 Years                     | 0.75                        | 0.65        | 0.55        |
| quant Mid Cap Fund                                                            | Equity - Mid Cap         | 3 Months / 0.5 %                    | 0.70                        | 0.60        | 0.55        |
| quant Flexi Cap Fund                                                          | Equity – Flexi Cap       | 15 Days / 1%                        | 0.90                        | 0.80        | 0.70        |
| quant Aggressive Hybrid Fund (Formerly known as quant Absolute Fund)          | Hybrid - Aggressive      | 15 Days / 1%                        | 0.95                        | 0.85        | 0.75        |
| quant Infrastructure Fund                                                     | Thematic                 | 3 Months / 0.5 %                    | 0.95                        | 0.85        | 0.75        |
| quant Large and Mid-Cap Fund                                                  | Equity – Large & Mid Cap | 15 Days / 1%                        | 0.95                        | 0.85        | 0.75        |
| quant Quantamental Fund                                                       | Thematic - quant         | 15 Days / 1%                        | 0.95                        | 0.85        | 0.75        |
| quant Momentum Fund                                                           | Thematic                 | 15 Days / 1%                        | 0.95                        | 0.85        | 0.75        |
| quant Value Fund                                                              | Thematic                 | 15 Days / 1%                        | 1.15                        | 1.00        | 0.85        |
| quant Business Cycle Fund                                                     | Thematic                 | 15 Days / 1%                        | 1.15                        | 1.00        | 0.85        |
| quant Focused Fund                                                            | Equity - Focus           | 15 Days / 1%                        | 1.15                        | 1.00        | 0.85        |
| quant Large Cap Fund                                                          | Equity - Large Cap       | 15 Days / 1%                        | 1.15                        | 1.00        | 0.85        |
| quant PSU Fund                                                                | Thematic                 | 15 Days / 1%                        | 1.15                        | 1.00        | 0.85        |
| quant Manufacturing Fund                                                      | Thematic                 | 15 Days / 1%                        | 1.15                        | 1.00        | 0.85        |
| quant Dynamic Asset Allocation Fund                                           | Hybrid                   | 15 Days / 1%                        | 1.15                        | 1.00        | 0.85        |
| quant ESG Integration Strategy Fund (Formerly known as quant ESG Equity Fund) | Thematic                 | 15 Days / 1%                        | 1.40                        | 1.30        | 1.20        |
| quant BFSI Fund                                                               | Thematic                 | 15 Days / 1%                        | 1.40                        | 1.30        | 1.20        |
| quant Healthcare Fund                                                         | Thematic                 | 15 Days / 1%                        | 1.40                        | 1.30        | 1.20        |
| quant Teck Fund                                                               | Thematic                 | 15 Days / 1%                        | 1.40                        | 1.30        | 1.20        |
| quant Commodities Fund                                                        | Thematic                 | 15 Days / 1%                        | 1.40                        | 1.30        | 1.20        |
| quant Consumption Fund                                                        | Thematic                 | 15 Days / 1%                        | 1.40                        | 1.30        | 1.20        |
| quant Equity Savings Fund                                                     | Hybrid - Equity Savings  | 15 Days / 1%                        | 1.40                        | 1.30        | 1.20        |
| quant Arbitrage Fund                                                          | Equity - Arbitrage       | 1 Month / 0.25%                     | 0.50                        |             |             |
| quant Multi Asset Allocation Fund (Formerly known as quant Multi Asset Fund)  | Hybrid                   | 15 Days / 1%                        | 0.95                        | 0.85        | 0.75        |
| quant Liquid Plan                                                             | Liquid                   | Staggered<br>(Please Refer Website) | 0.25                        |             |             |
| quant Overnight Fund                                                          | Overnight                | Nil                                 | 0.05                        |             |             |
| quant Gilt Fund                                                               | Debt                     | Nil                                 | 0.90                        |             |             |

<sup>\$</sup> The Brokerage Rates structure will be applicable till the mentioned period or until the AUM of a scheme crosses the SEBI prescribed TER threshold.

In case of any regulatory change or Management decision with respect to expense ratio or reduction in TER due to increase in scheme size, the brokerage structure will be tweaked accordingly from the date of change on all asset including SIPs/STPS.

Schemes are available on all major platforms like



&



You can also transact through our website



|                         |                    |
|-------------------------|--------------------|
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## Notes

### A. General:

This brokerage structure outlined in the table above are applicable for the period September 1, 2025 to September 30, 2025. However, quant Money Managers Limited (AMC) reserves the right to change the applicable Brokerage Rates as it may deem fit without any prior intimation or notification in the intermittent period in case of Regulatory Changes / Change in Industry practices in respect to payment of Brokerage on Funds or due to any other circumstances which AMC may deem fit.

### B. Statutory/ AMFI Regulations

The commission structure communicated by quant Money Managers Limited from time to time is all inclusive i.e. inclusive of any cess, charges, taxes, etc. that may be applicable to the Distributor and eligible for all the applicable statutory deductions, including Income Tax, GST and any other indirect taxes as may be applicable if any.

- Investment in quant Tax Plan (an Open-ended equity linked tax saving scheme with a 3 year lock in) is currently eligible for deduction under Section 80C of the Income Tax Act, 1961. Investors should be requested to consult their tax advisor in this matter.
- The rules and regulations of SEBI/ AMFI pertaining to brokerage payment to distributors will also be applicable for payment of the above mentioned brokerage structure.
- The above brokerage structure is based on the present expense ratio allowed by SEBI. Any change by SEBI in the expense ratio will entail a change in the above brokerage structure.
- In case any Assets under your ARN Code are transferred to another Distributor at the request of the Investor, guidelines issued by SEBI/AMFI will be duly followed
- The distributors shall adhere to all applicable SEBI Regulations and more particularly SEBI circulars dated June 26, 2002 and August 27, 2009 on the Code of Conduct and other guidelines issued by AMFI from time to time for mutual fund distributors and ensure that (i) no rebate is given to investors in any form and (ii) there is no splitting of applications for any benefit.
- In terms of SEBI / AMFI circulars / guidelines, the Channel Partners shall submit to quant Mutual all account opening and transaction documentation including Know Your Client, Power of Attorney (PoA), Account Opening Form, etc. in respect of investors / transactions through Channel Partners. Further, the payment of commission shall be made by AMC depending on the documentation completion status.
- SEBI has communicated to all mutual Fund/ AMCs that any sales, marketing, promotional or other literature / material about the fund house products prepared by its distributors need to adhere and comply with the guidelines issued by SEBI with respect to the advertisement by Mutual Funds. It has further advised the AMCs to take suitable steps for put in place a mechanism for proactive oversight in this regard.
- The Distributor shall not make representations/ statements concerning the units of the schemes other than as contained in the current SID(s), Key Information Memorandum and printed information issued by quant Mutual / quant Money Managers Limited as information supplemental to such documents. The Distributor shall only use such advertising / sales material for distributing / selling activities as provided approved by quant Money Managers Limited when advertising. The Distributor shall not indulge in any kind of malpractice or unethical practice to sell, market or induce any investor to buy mutual qMF units which may directly / indirectly impact quant Mutual / quant Money Managers Limited in any manner.
- In terms of a SEBI directive, the Distributor / Advisor shall not take any Irrevocable Power of Attorney from its clients in connection with investments in the schemes of quant Mutual and that the liability of Distributor / Advisor shall not be limited and depend upon his failure to discharge his obligations.
- AMFI has vide circular dated August 27, 2010 introduced Know Your Distributor (KYD) norms for Mutual Fund Distributors with effect from September 1, 2010, which is similar to Know Your Client (KYC) norms for investors, requiring the distributors to submit identity proof, address, PAN and bank account details with proof. KYD norms are applicable for fresh ARN registrations and ARN renewals effective September 1, 2010. The existing ARN holders are required to comply with these norms by March 31, 2011, failing which AMCs have been mandated to suspend payment of commission till the distributors comply with the requirements. All the Distributors / Advisors are encouraged to complete the KYD requirements at the earliest. The KYD Forms and Process Note are available on AMFI website [www.amfiindia.com](http://www.amfiindia.com).
- For calculation purposes, we use data updated in the KFinTech system by noon, on the 28th of each month.
- SIP's will be treated as per the date of transaction; brokerage rate prevailing during the period will be applicable on the STP transaction
- This brokerage structure is subject to EUIN regulations/guidelines as specified by SEBI/AMFI and/or adopted by the Mutual Fund Industry.
- The decision of the AMC shall be considered final.

### quant Mutual Fund

**corporate office:** 6th floor, sea breeze building, appasaheb marathe marg, prabhadevi, mumbai - 400 025.

tel: +91 22 6295 5000 | e-mail: [help.distributor@quant.in](mailto:help.distributor@quant.in) | [www.quantmutual.com](http://www.quantmutual.com)

GST Number: 27AAATE0120D1ZK



WhiteOak Capital Asset Management Limited Brokerage Structure 01-Jul-2025 to 30-Sep-2025

| Category  | Scheme                                                      | Exit Load                      | 1st Year<br>% pa | 2nd Year<br>% pa | 3rd Year<br>% pa | 4th Year<br>onwards % pa |
|-----------|-------------------------------------------------------------|--------------------------------|------------------|------------------|------------------|--------------------------|
| THEMATIC  | WHITEOAK CAPITAL ESG BEST-IN-CLASS STRATEGY FUND (WESG)     | 1% before 1 month              | 1.6              | 1.6              | 1.6              | 1.5                      |
| THEMATIC  | WHITEOAK CAPITAL QUALITY EQUITY FUND (WQEF)                 | 1% before 1 month              | 1.45             | 1.45             | 1.45             | 1.35                     |
| THEMATIC  | WHITEOAK CAPTIAL DIGITAL BHARAT FUND (WDIG)                 | 1% before 1 month              | 1.6              | 1.6              | 1.6              | 1.5                      |
| THEMATIC  | WHITEOAK CAPITAL BANKING AND FINANCIAL SERVICES FUND (WBFS) | 1% before 1 month              | 1.6              | 1.6              | 1.6              | 1.5                      |
| THEMATIC  | WHITEOAK CAPITAL PHARMA AND HEALTHCARE FUND (WPHC)          | 1% before 1 month              | 1.65             | 1.65             | 1.65             | 1.55                     |
| THEMATIC  | WHITEOAK CAPITAL SPECIAL OPPORTUNITIES FUND (WSOP)          | 1 % before 1 month             | 1.25             | 1.25             | 1.25             | 1.15                     |
| EQUITY    | WHITEOAK CAPITAL ELSS TAX SAVER FUND (WTAX)                 | Lock in period of 3 years      | 1.7              | 1.7              | 1.7              | 1.6                      |
| EQUITY    | WHITEOAK CAPITAL LARGE CAP FUND (WLCF)                      | 1% before 1 month              | 1.25             | 1.25             | 1.25             | 1.15                     |
| EQUITY    | WHITEOAK CAPITAL MULTI CAP FUND (WMLT)                      | 1% before 1 month              | 1.1              | 1.1              | 1.1              | 1                        |
| EQUITY    | WHITEOAK CAPITAL LARGE AND MID CAP (WOLM)                   | 1% before 1 month              | 1.25             | 1.25             | 1.25             | 1.15                     |
| EQUITY    | WHITEOAK CAPITAL FLEXI CAP FUND (YFCF)                      | 1% before 1 month              | 1                | 1                | 1                | 0.9                      |
| EQUITY    | WHITEOAK CAPITAL MID CAP FUND (WMCF)**                      | 1% before 1 month              | 1.1              | 1.1              | 1.1              | 1                        |
| HYBRID    | WHITEOAK CAPITAL EQUITY SAVINGS FUND (WESF)                 | 0.25% before 7 days            | 1.3              | 1.3              | 1.3              | 1.2                      |
| HYBRID    | WHITEOAK CAPITAL BALANCED ADVANTAGE FUND (WBAF)             | 1% before 1 month              | 1.25             | 1.25             | 1.25             | 1.15                     |
| HYBRID    | WHITEOAK CAPITAL MULTI ASSET ALLOCATION FUND (WMAF)         | 1% before 1 month              | 1                | 1                | 1                | 0.9                      |
| HYBRID    | WHITEOAK CAPITAL BALANCED HYBRID FUND (WBHF)                | 1% before 1 month              | 1.45             | 1.45             | 1.45             | 1.35                     |
| ARBITRAGE | WHITEOAK CAPITAL ARBITRAGE FUND (WARB)                      | 0.25% up to 7 days & nil after | 0.7              | 0.7              | 0.7              | 0.6                      |
| DEBT      | WHITEOAK CAPITAL ULTRA SHORT TERM FUND (YUST)               | NIL                            | 0.5              | 0.5              | 0.5              | 0.5                      |
| LIQUID    | WHITEOAK CAPITAL LIQUID FUND (YLF)                          | Refer Note 1                   | 0.1              | 0.1              | 0.1              | 0.1                      |

\*\* investment accepted in WhiteOak Midcap fund via STP / SIP mode and for Lumpsum limit is Rs 1 Lakh per pan for day.

We look forward for your support

Regards,  
WhiteOak Capital Mutual Fund

| Note 1 - Exit Load for WhiteOak Capital Liquid Fund |         |         |         |         |         |         |               |
|-----------------------------------------------------|---------|---------|---------|---------|---------|---------|---------------|
| Investor exit upon subscription*<br>(in Days)       | Day 1   | Day 2   | Day 3   | Day 4   | Day 5   | Day 6   | Day 7 onwards |
| Exit Loads as a % of redemption proceeds 0          | 0.0070% | 0.0065% | 0.0060% | 0.0055% | 0.0050% | 0.0045% | 0.0000%       |

| Terms and Conditions: |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1                     | The AMC/Trustee reserve the rights to change/modify the load structure of the scheme, subject to maximum limits as prescribed under Regulations.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 2                     | The commission rates are applicable for all purchases (including switch in, SIP, STP etc) made from 01-Jul-2025 till further notice.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 3                     | The annualized Commission/ Brokerage indicated above is on a gross basis, and is inclusive of statutory levies and taxes, if any. The annualized commission is calculated on the basis of 'Daily Average Assets' on the NAV and paid on monthly basis by Mutual Fund subject to fulfillment of T & C as per empanelment form/distributor agreement and would be net off any claw back, adjustment, refunds etc. if any.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 4                     | A GST registered distributor is required to issue a tax invoice for such tax amount.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 5                     | All the registered distributors have to submit the GST invoice on monthly basis before 15th of the payment month. The invoice submission is enabled in CAMS distributor login page.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 6                     | Commission will not be released until the amount payable exceeds Rs. 100 on or before the end of the fiscal year end and will be directly credited to your bank account provided at the time of empanelment.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 7                     | Decision of the WhiteOak Capital Asset Management Limited pertaining to brokerage calculation and the other matters pertaining thereto shall be final & binding.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 8                     | WhiteOak Capital Asset Management Limited reserves the right to change the applicable Brokerage rates as it may deem fit without any intimation / notification, to seek refund in case of Premature Redemptions / unexpired period for which commission is paid for Normal Purchases, Switch-Ins and for SIP's/STP's.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 9                     | Commission paid is subject to claw-back as per code of conduct issued by AMFI and SEBI Regulations amended from time to time. Distributors shall refund to AMC, either by set off against future commissions or payment, all incentives of any nature, including commissions received.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 10                    | The commission will be directly credited in the Bank Account, wherever applicable, as intimated by the Registered ARN Holder.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 11                    | Please refer to SEBI Circular No. SEBI/IMD/Cir No. 4/168230/09 dated June 30, 2009 regarding transparency in commission. You are requested to take note and act accordingly of paragraph 4 (d) of the said circular which states as follows: "The distributors should disclose all the commission (in form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor".                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 12                    | The Commission structure indicated above is subject to review from time to time and the AMC reserves the right to change the commission structure/period without any prior intimation for various reasons including to meet the regulatory requirements.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 13                    | Effective September 1, 2010, AMFI has introduced the Know Your Distributor ("KYD") norms applicable to all the Mutual Fund Distributors for fresh ARN registration and ARN renewal. As advised by AMFI, advisors are required to be KYD complied failing to which payment of commission will be suspended in full.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 14                    | The commission structure is subject to EUIN regulations/ guidelines as specified by SEBI/ AMFI from time to time. Distributor shall adhere to all applicable SEBI regulation/ Circular on Code of Conduct for intermediaries and other guidelines issued by SEBI/ AMFI from time to time for Mutual Fund Distributor and ensure that no rebate is given to the to the investor in any form and there is no splitting of application for any benefit. WhiteOak Capital Asset Management Limited reserves the right to withhold the payment on account of non-adherence to regulatory guidelines, mis selling and non-adherence to code of conduct or any reason that WhiteOak Capital Asset Management Limited may deem fit. You are required to submit a Declaration of Self Certification ("DSC") in the prescribed format latest by June 30 each year. In case of non-receipt of the DSC by June 30, payment of all types of commission shall be suspended till the requirements of furnishing the DSC are complied with. |
| 15                    | Distributors are requested to visit our website mf.whiteoakamc.com and read the latest Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM) and various addendum issued from time to time to confirm the scheme details.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 16                    | Commission/ Brokerage Structure received from the email id: mfpartner@whiteoakamc.com shall be considered valid. AMC will not entertain or be liable/obliged to consider commission/ Brokerage commission shared through any other EMAIL ID of WhiteOak Capital Asset Management Limited or its Employees.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 17                    | Switches between the fund options is not applicable for brokerage rates.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 18                    | For SIPs/STPs registered the brokerage rate will be based on transaction or SIP instalment date and not on registration date.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 19                    | This letter supersedes any other incentive/brokerage structure issued earlier to this period.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 20                    | All your Queries related to brokerages should be written to mfpartner@whiteoakamc.com                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |

| Terms & Conditions of Goal SIPT                                                                                                                                                     |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| This form is for availing Goal SIP facility with or without SIP Top-Up Facility. WhiteOak Capital Goal SIP is an optional feature that allows investors to do the following:        |  |
| 1. Do an SWP from the invested scheme at the end the pre-specified SIP Tenure/Period                                                                                                |  |
| 2. Switch out of all units allotted in the Source scheme to a pre-defined Target scheme at the end of SIP Tenure/Period and subsequently start a monthly SWP from the Target scheme |  |
| For SIP(Source) and SWP(Target) Scheme names, please refer to point C in Terms and Condition section below.                                                                         |  |

**Terms & Conditions**

A.SIP is to be registered into the below mentioned schemes for a fixed period of either 8 years, 10 years, 12 years, 15 years, 20 years, 25 years or 30 years.

The following schemes are included for SIP under Goal SIP:

1. WhiteOak Capital Balanced Advantage Fund. 2. WhiteOak Capital Large Cap Fund. 3.WhiteOak Capital Flexi Cap Fund. 4.WhiteOak Capital Mid Cap Fund. 5.WhiteOak Capital ELSS Tax Saver Fund. 6. WhiteOak Capital Multi Cap Fund 7. WhiteOak Capital Multi Asset Allocation Fund. 8. WhiteOak Capital Balanced Hybrid Fund. 9. WhiteOak Capital Large & Mid Cap Fund.

WhiteOak Capital AMC may modify the list of schemes participating in the GOAL SIP Feature. Please refer to Scheme Information Document and Addendum issued from time to time to find out the eligibility of the schemes for participation in this Special feature.

B. Goal SIP is allowed only for the SIP Eligible schemes with monthly frequency. SIP and SWP shall be registered only in the 'Growth' option of the Scheme.

C. Please refer to the below table for the Source Schemes (SIP) and Target Schemes (SWP) available for this facility.

| Sr.No | SIP Scheme                      | SWP Scheme/Target Scheme                     | Sr.No | SIP Scheme                                   | SWP Scheme/Target Scheme                     |
|-------|---------------------------------|----------------------------------------------|-------|----------------------------------------------|----------------------------------------------|
| 1     | WhiteOak Capital Large Cap Fund | WhiteOak Capital Large Cap Fund              | 5     | WhiteOak Capital Large Cap Fund              | WhiteOak Capital ELSS Tax Saver Fund         |
|       |                                 | WhiteOak Capital Flexi Cap Fund              | 6     | WhiteOak Capital Large Cap Fund              | WhiteOak Capital ELSS Tax Saver Fund         |
|       |                                 | WhiteOak Capital Balanced Advantage Fund     |       |                                              | WhiteOak Capital Balanced Advantage Fund     |
|       |                                 | WhiteOak Capital Multi Asset Allocation Fund |       |                                              | WhiteOak Capital Multi Asset Allocation Fund |
|       |                                 | WhiteOak Capital Balanced Hybrid Fund        | 7     | WhiteOak Capital Multi Asset Allocation Fund | WhiteOak Capital ELSS Tax Saver Fund         |
| 2     | WhiteOak Capital Flexi Cap Fund | WhiteOak Capital Large Cap Fund              |       |                                              | WhiteOak Capital Balanced Advantage Fund     |
|       |                                 | WhiteOak Capital Flexi Cap Fund              |       |                                              | WhiteOak Capital Multi Asset Allocation Fund |
|       |                                 | WhiteOak Capital Balanced Advantage Fund     | 8     | WhiteOak Capital Balanced Hybrid Fund        | WhiteOak Capital ELSS Tax Saver Fund         |
|       |                                 | WhiteOak Capital Multi Asset Allocation Fund |       |                                              | WhiteOak Capital Balanced Advantage Fund     |
|       |                                 | WhiteOak Capital Balanced Hybrid Fund        |       |                                              | WhiteOak Capital Multi Asset Allocation Fund |
| 3     | WhiteOak Capital Mid Cap Fund   | WhiteOak Capital Large Cap Fund              | 9     | WhiteOak Capital Large & Mid Cap Fund        | WhiteOak Capital Large & Mid Cap Fund        |
|       |                                 | WhiteOak Capital Flexi Cap Fund              |       |                                              | WhiteOak Capital Flexi Cap Fund              |
|       |                                 | WhiteOak Capital Balanced Advantage Fund     |       |                                              | WhiteOak Capital Large Cap Fund              |
|       |                                 | WhiteOak Capital Multi Asset Allocation Fund |       |                                              | WhiteOak Capital Balanced Advantage Fund     |
|       |                                 | WhiteOak Capital Balanced Hybrid Fund        |       |                                              | WhiteOak Capital Multi Asset Allocation Fund |
| 4     | WhiteOak Capital Multi Cap Fund | WhiteOak Capital Large Cap Fund              |       |                                              | WhiteOak Capital Balanced Hybrid Fund        |
|       |                                 | WhiteOak Capital Flexi Cap Fund              |       |                                              |                                              |
|       |                                 | WhiteOak Capital Balanced Advantage Fund     |       |                                              |                                              |
|       |                                 | WhiteOak Capital Multi Asset Allocation Fund |       |                                              |                                              |
|       |                                 | WhiteOak Capital Balanced Hybrid Fund        |       |                                              |                                              |

D. At the time of registration of Goal SIP, in case if the investor does not mention any Target Scheme for SWP, AMC will register such applications under Goal SIP instead of Normal SIP and the Source Scheme (SIP) mentioned in the application form will be considered as Default Target Scheme (SWP) for Goal SIP. The plan chosen (Direct or Regular) at the time of selecting the Source (SIP) scheme shall also be considered for the Target (SWP) scheme. For example, if the investor selects "Direct plan" for the SIP scheme, the Target scheme shall also be "Direct plan" and so on. SWP amount will be as per the amount mentioned by the investor in the mandate form. The SWP Amount is subject to the minimum SWP amount for the respective scheme(s).

E. On completion of the SIP period, the entire accumulated clear units via the respective Goal SIP will be transferred into a pre-defined SWP scheme, as opted by the investor, on T+15 days (T is the last SIP transaction date of the Goal SIP).

F. During Goal SIP registration itself, the SWP scheme ticked shall be the target scheme and the SWP will be registered for Monthly frequency. The Start date of SWP will be the month following the trigger date (last SIP Date + 15 days) and the End date will be 31st December 2099. However, the SWP under Goal SIP shall be processed till units are available. In case, the trigger date is a non- business day, the next business day will be taken. SWP Date will be same as the SIP date.

G. Post the transfer, SWP is to be activated for the amount specified by the investor in the mandate form. In case, the investor does not fill in any SWP amount, default shall be considered as an Initial SIP amount registered.

H. In case, the investor does not fill in any SIP tenure, the default tenure shall be 12 years. In case any other tenure is mentioned, apart from the ones mentioned in point A, the application shall be rejected. Purchase and Switch in (SI) is allowed under the SWP Scheme and SWP amount being triggered would be on unit's basis first in first out (FIFO) basis.

I. Investors can choose any date (between 1 to 28) of his/her preference to register -any frequency available under the SIP facility. In case the date chosen for SIP falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day.

J. Investors/ unitholders subscribing for Goal SIP are required to submit Goal SIP request by filling this application form at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 100 days from the date of submission of request for Goal SIP.

K. In case SIP date is not selected, then the Goal SIP will be registered on 10th (default date) of each Month, as applicable.

L. If the investor has not mentioned the SIP start Month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt date of SIP.

M. The first SIP cheque/draft could be of any Business Day, but subsequent Auto Debit mandate/cheques should be for any date from 1st to 28th of a month and there should be a minimum gap of at least 21 working days between the 1st SIP transaction and the 2nd SIP. However, subsequent cheques/ Auto Debit transaction date should have a gap of 30 days. In case the criteria are not met, the SIP would start on the same date from the next month. Units shall be allotted as per the realisation date. Investors can also start a Goal SIP directly without any initial investment, however he has to submit the application for enrolment of Goal SIP on any working day but the subsequent instalment date of Goal SIP shall be any date from 1st to 28th of a month with a minimum gap criteria of 30 days between the submission of application form and the 1st SIP.

N. In case of any ambiguity in the form, it is liable to get rejected.

O. Goal SIP shall get discontinued in the following cases:

- On cancellation of SIP before the end of tenure, the underlying trigger and SWP will cease
- In case, redemption / Switch Out (SO) is processed in SIP Scheme during the SIP tenure, the trigger and the SWP will cease but SIP shall continue
- Redemption and SO is also allowed under the Target Scheme. In case redemption / SO processed in Source Scheme after the SIP tenure till the execution of trigger switch, the trigger and the SWP will cease
- In case investor's demise intimation is received during the SIP tenure, the switch and the SWP shall cease. In case investor's demise intimation is received post SIP tenure but up to December 2099 (or till units are available), the SWP shall cease
- After 6 consecutive SIP transaction failures under Goal SIP for a Particular Scheme, the Goal SIP for the scheme shall get auto-terminated P SIP Top Up & SIP Pause is allowed under this feature. However, the SWP would get registered only based on the initial SIP amount where investor has not specified the same in the application form.

Q. SIP Top Up start date will be considered from the processing of 1st instalment for respective frequencies. For e.g., if the Top Up opted is for half yearly frequency, the Top Up will start from 7th instalment. Similarly for yearly frequency, the Top Up will start from 13th instalment. Also note that the SIP Top Up end date will be considered up to the SIP tenure end date.

- Investors can opt for SIP Top Up facility with Fixed Top Up option or Variable Top Up option, wherein the amount of the SIP can be increased at fixed intervals. In case the investor opts for both options, the Variable Top Up option shall be triggered. And the default Variable Top Up percentage shall be 5%. Please note Variable Top Up Option is not available in WhiteOak Capital ELSS Tax Saver Fund
- The Fixed Top Up amount shall be in multiples of `500/-, Variable Top Up would be available at 5%, 10%, 15% and 20% and such other denominations (over and above 5%, 10%, 15% and 20%) as opted by the investor in multiples of 5%
- The frequency is fixed i.e., either at Yearly or Half Yearly basis. In case the SIP Top Up facility is not opted by ticking the appropriate box and frequency is not selected, the SIP Top Up may not be registered. In case of Quarterly SIP, only the Yearly frequency is available under SIP Top Up
- SIP Top Up facility shall also be available only for the existing investors who have already registered for SIP facility without Top Up option. For such existing investors, who wish to avail the Top Up facility, the application needs to be submitted 15 days prior to the next SIP instalment date, for the SIP debit to happen in the existing OTM registered under the folio, subject to OTM Limit, validity and other standard validations

R. Minor Tax status will not be allowed for Goal SIP registration.

S. The minimum instalment amount under Goal SIP/ SWP shall be as minimum amount prescribed for SIP/SWP under Monthly frequencies in the respective schemes.

T. Goal SIP is not available under DEMAT mode.

U. Incase if the investor does not fulfil any criteria, the Goal SIP application may be rejected. WhiteOak Capital Mutual Fund reserves the right to reject any application.

V. To enrol for this facility, new investors need to fill Common Application form along with the Goal SIP Registration & OTM Debit Mandate Form. Investors willing to invest in the existing folio need to only fill the Goal SIP Registration & OTM Debit Mandate Form. Where a One Time Mandate is already registered in a folio for a bank account, the Unit Holder(s) will have to fill only the SIP Registration Form and there is no need of a separate cheque to be given along with the SIP Registration Form.

W. In case of any discrepancy, AMC reserves the right to process it as a normal SIP

X. Investors can enrol for this facility by visiting our website mf.whiteoakamc.com. Online enrolment is also offered on Exchange Platforms, i.e., BSE StAR / NSE "MFSS".

| Brokerage Structure                                                                                                                                                                                                                                 |                        |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|
| Applicable from 1st July 2024 to 30th September 2024                                                                                                                                                                                                |                        |
| Scheme Name                                                                                                                                                                                                                                         | Trail 1st Year Onwards |
| <b>Solutions</b>                                                                                                                                                                                                                                    |                        |
| Tata Retirement Savings Fund -PP                                                                                                                                                                                                                    | 1.05%                  |
| Tata Retirement Savings Fund -MP                                                                                                                                                                                                                    | 1.05%                  |
| Tata Retirement Savings Fund -CP                                                                                                                                                                                                                    | 1.15%                  |
| Tata Young Citizens Fund                                                                                                                                                                                                                            | 1.20%                  |
| <b>ELSS</b>                                                                                                                                                                                                                                         |                        |
| Tata ELSS Tax Saver Fund                                                                                                                                                                                                                            | 0.90%                  |
| <b>Equity Funds</b>                                                                                                                                                                                                                                 |                        |
| Tata Small Cap Fund                                                                                                                                                                                                                                 | 0.90%                  |
| Tata Mid Cap Growth Fund                                                                                                                                                                                                                            | 1.00%                  |
| Tata Ethical Fund                                                                                                                                                                                                                                   | 1.00%                  |
| Tata Flexicap Fund                                                                                                                                                                                                                                  | 1.00%                  |
| Tata Equity P/E Fund                                                                                                                                                                                                                                | 0.90%                  |
| Tata Large & Mid Cap Fund                                                                                                                                                                                                                           | 0.90%                  |
| Tata Large Cap Fund                                                                                                                                                                                                                                 | 1.05%                  |
| Tata Hybrid Equity Fund                                                                                                                                                                                                                             | 0.95%                  |
| Tata Balanced Advantage Fund                                                                                                                                                                                                                        | 0.85%                  |
| Tata Focused Equity Fund                                                                                                                                                                                                                            | 1.05%                  |
| Tata Quant Fund                                                                                                                                                                                                                                     | 1.20%                  |
| Tata Multiasset Opportunities Fund                                                                                                                                                                                                                  | 1.00%                  |
| Tata Dividend Yield Fund                                                                                                                                                                                                                            | 1.15%                  |
| Tata Business Cycle Fund                                                                                                                                                                                                                            | 0.95%                  |
| Tata Housing Opportunities Fund                                                                                                                                                                                                                     | 1.20%                  |
| Tata Multicap Fund                                                                                                                                                                                                                                  | 0.95%                  |
| Tata Equity Savings Fund                                                                                                                                                                                                                            | 0.45%                  |
| Tata Banking & Financial Services Fund                                                                                                                                                                                                              | 1.05%                  |
| Tata Digital India Fund                                                                                                                                                                                                                             | 0.85%                  |
| Tata India Consumer Fund                                                                                                                                                                                                                            | 1.05%                  |
| Tata India Pharma & Health Care Fund                                                                                                                                                                                                                | 1.20%                  |
| Tata Resources & Energy Fund                                                                                                                                                                                                                        | 1.15%                  |
| Tata Infrastructure Fund                                                                                                                                                                                                                            | 1.05%                  |
| <b>Arbitrage Fund</b>                                                                                                                                                                                                                               |                        |
| Tata Arbitrage Fund                                                                                                                                                                                                                                 | 0.55%                  |
| <b>Index Funds</b>                                                                                                                                                                                                                                  |                        |
| Tata Index Fund - NIFTY A                                                                                                                                                                                                                           | 0.20%                  |
| Tata Index Fund - SENSEX A                                                                                                                                                                                                                          | 0.20%                  |
| Tata Nifty500 Multicap India Manufacturing 50:30:20 Index Fund                                                                                                                                                                                      | 0.50%                  |
| Tata Nifty500 Multicap Infrastructure 50:30:20 Index Fund                                                                                                                                                                                           | 0.50%                  |
| Tata Nifty Auto Index Fund                                                                                                                                                                                                                          | 0.50%                  |
| Tata Nifty Realty Index Fund                                                                                                                                                                                                                        | 0.50%                  |
| Tata Nifty Financial Services Index Fund                                                                                                                                                                                                            | 0.50%                  |
| Tata Nifty MidSmall Healthcare Index Fund                                                                                                                                                                                                           | 0.50%                  |
| Tata Nifty Midcap 150 Momentum 50 Index Fund                                                                                                                                                                                                        | 0.45%                  |
| Tata Nifty G-Sec Dec 2026 Index Fund                                                                                                                                                                                                                | 0.20%                  |
| Tata Nifty G-Sec Dec 2029 Index Fund                                                                                                                                                                                                                | 0.20%                  |
| <b>Exchange Traded Fund (ETF)</b>                                                                                                                                                                                                                   |                        |
| Tata Nifty India Digital ETF Fund of Fund                                                                                                                                                                                                           | 0.25%                  |
| Tata Silver ETF Fund of Fund                                                                                                                                                                                                                        | 0.30%                  |
| Tata Gold ETF Fund of Fund                                                                                                                                                                                                                          | 0.35%                  |
| <b>Debt Funds</b>                                                                                                                                                                                                                                   |                        |
| Tata Short Term Bond Fund                                                                                                                                                                                                                           | 0.60%                  |
| Tata Corporate Bond Fund                                                                                                                                                                                                                            | 0.35%                  |
| Tata Nifty SDL Plus AAA PSU Bond Dec 2027 60 40 Index Fund                                                                                                                                                                                          | 0.20%                  |
| Tata CRISIL-IBX GILT INDEX – APRIL 2026 INDEX FUND                                                                                                                                                                                                  | 0.20%                  |
| <b>Gilt Funds</b>                                                                                                                                                                                                                                   |                        |
| Tata Gilt Securities Fund                                                                                                                                                                                                                           | 0.60%                  |
| <b>Ultra Short Funds</b>                                                                                                                                                                                                                            |                        |
| Tata Treasury Advantage Fund                                                                                                                                                                                                                        | 0.20%                  |
| Tata Ultra Short Term Fund                                                                                                                                                                                                                          | 0.60%                  |
| Tata Overnight Fund                                                                                                                                                                                                                                 | 0.08%                  |
| Tata Floating Rate Fund                                                                                                                                                                                                                             | 0.30%                  |
| <b>Liquid Funds</b>                                                                                                                                                                                                                                 |                        |
| Tata Money Market Fund                                                                                                                                                                                                                              | 0.25%                  |
| Tata Liquid Fund                                                                                                                                                                                                                                    | 0.05%                  |
| <b>Notes:</b>                                                                                                                                                                                                                                       |                        |
| All trail rates are payable in apm mode. DOA stands for the Date of allotment.                                                                                                                                                                      |                        |
| For Exit load structure etc please refer SID/KIM of various schemes.                                                                                                                                                                                |                        |
| The above structure is applicable for any application amount (subject to Minimum application amount criteria of respective scheme).                                                                                                                 |                        |
| The above structure is subject to retrospective changes basis the new TER slabs applicable from 1st April 2019. The current trail will also undergo changes basis TER applicability as per fund size.                                               |                        |
| The above structure pertains to new business from 1st July 2024.                                                                                                                                                                                    |                        |
| The above structure is basis the current DTERs of the respective schemes. Since the TERs/DTERs are dependent on the AUM of the individual fund, TATA AMC reserves the rights to modify the rates retrospectively as well for the respective fund(s) |                        |
| The above structure is applicable for both Lump Sum as well as SIP/STP Transactions.                                                                                                                                                                |                        |

**Terms & Conditions**

1. The proposed brokerage structure is applicable for regular plan only. NO brokerage / Commission (Trail) will be payable on Direct Plans

**2. Trail :**

(a) First year trail commission is calculated from the date of the allotment till the end of the first year of investment or till the investor stays invested in the scheme, whichever is earlier and is calculated on pro-rata basis, by taking the average of net asset value during the period under consideration and on the outstanding units.

(b) Second year trail commission is calculated from date of completion of one year from the date of allotment till the completion of 2nd year. It is calculated on pro-rata basis, by taking average of net asset value during the period under consideration. Second year trail commission as applicable to the scheme is paid either perpetually till the investor stays invested in the scheme or for the specific period mentioned in the commission structure applicable to the scheme.

3. The commission rates are inclusive of all taxes, levies, statutory dues and Goods & Services tax (GST). If any tax is required to be deducted at source, the same will be deducted from the payment of the distributors.

4. TATA AMC may change the rates/periodicity etc of commission in case of change in regulations/Load Structure/expense ratio and any other factors which have an impact on such payments. Such Change would be applicable for remaining SIP/STP installments also.

5. Please read the latest SID and addendums carefully to confirm the scheme details.

6. Vide SEBI circular dated November 28th, 2002 and AMFI's subsequent circulars, intermediaries are not entitled to commission/incentive on their own investment. Commission/ incentive is not payable for investment made by sponsor(s) of TATA AMC.

7. In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Distributors are advised to ensure strict compliance of the same.

8. The objective of the above structure is to reward genuine investments canvassed by distributors. Any misuse of the commission/reward structure should be discouraged and commission of such distributors found involved in any malpractice may be withheld.

9. MFDs shall ensure compliance with SEBI Circular dated October 22, 2018 & AMFI letter to AMC dated March 02, 2023 read with other extant SEBI and AMFI Circulars.

10. TATA AMC reserves the right to modify, withdraw or suspend the brokerage structure at any time.

11. MFDs shall ensure compliance with AMFI / SEBI code of Conduct at all points of time.